Fluorescence Ultrasound Modulated Optical Tomography (fUMOT) in the Diffusive Regime

Yang Yang Computational Math, Science and Engineering (CMSE) Michigan State University

> joint work with: Wei Li, Louisiana State University Yimin Zhong, University of California Irvine

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# Optical Tomography

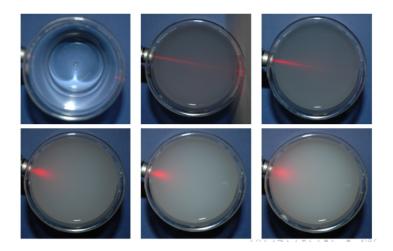


Figure: Credit: Nina Schotland

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# Fluorescence + Optical Tomography (fOT)

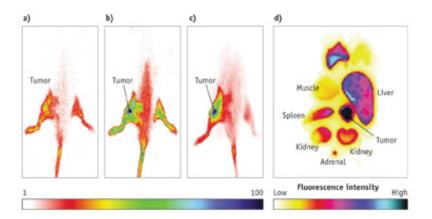


Figure: Fluorescence Optical Tomography (fOT). Image from Yang Pu et al, "Cancer detection/fluorescence imaging: 'smart beacons' target cancer tumors", BioOpticsWorld.com., 2013.

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Fluorescence + Ultra	sound Modulation $+$ O	ptical Tomography (fU	MOT)
	ght source, citation photon path emitted fluorescence p	D: detector bhoton path	
	Ultrasound Beam / Fluores	D	

Figure: Fluorescence Ultrasound Modulated Optical Tomography (fUMOT). Image from B. Yuan et al, *"Mechanisms of the ultrasonic modulation of fluorescence in turbid media"*, J. Appl. Phys. 2008; 104: 103102

# Incomplete literature

- Fluorescence Optical Tomography (FOT): Arridge, Arridge-Schotland, Stefanov-Uhlmann, ...
- Ultrasound Modulated Optical Tomography (UMOT): Ammari-Bossy-Garnier-Nguyen-Seppecher, Bal, Bal-Moskow, Bal-Schotland, Chung-Schotland, . . .









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#### Diffusive regime for fOT (Ren-Zhao 2013):

 $u(\mathbf{x})$ : excitation photon density,  $w(\mathbf{x})$ : emission photon density

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• excitation process (subscripted by x):

$$\begin{cases} -\nabla \cdot D_x \nabla u + (\sigma_{x,a} + \sigma_{x,f})u = 0 & \text{in } \Omega \\ u = g & \text{on } \partial \Omega. \end{cases}$$

 $D_x(\mathbf{x})$ : diffusion coeffi.  $g(\mathbf{x})$ : boundary illumination  $\sigma_{x,a}(\mathbf{x})$ : absorption coeffi. of medium  $\sigma_{x,f}(\mathbf{x})$ : absorption coeffi. of fluore

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$$\begin{bmatrix} -\nabla \cdot D_m \nabla w + (\sigma_{m,a} + \sigma_{m,f})w &= \eta \sigma_{\mathbf{x},f} u & \text{in } \Omega \\ w &= 0 & \text{on } \partial\Omega. \end{bmatrix}$$

 $D_m(\mathbf{x})$ : diffusion coeffi.  $\sigma_{m,a}(\mathbf{x})$ : absorption coeffi. of medium  $\sigma_{m,r}(\mathbf{x})$ : absorption coeffi. of fluor

 $\eta(\mathbf{x})$ : quantum effciency coeffi.

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Ultrasound Modulation M	lodel	

#### Ultrasound modulation with plane waves:

• weak acoustic field:

$$p(t, \mathbf{x}) = A\cos(\omega t)\cos(\mathbf{q} \cdot \mathbf{x} + \phi).$$

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Ultrasound modulation with plane waves:

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$$p(t, \mathbf{x}) = A\cos(\omega t)\cos(\mathbf{q} \cdot \mathbf{x} + \phi).$$

• modulation effect on optical coefficients (Bal-Schotland 2009):

$$\begin{split} D_{x}^{\epsilon}(\mathbf{x}) &= (1 + \epsilon \gamma_{x} \cos(\mathbf{q} \cdot \mathbf{x} + \phi)) D_{x}(\mathbf{x}), & \gamma_{x} &= (2n_{x} - 1), \\ D_{m}^{\epsilon}(\mathbf{x}) &= (1 + \epsilon \gamma_{m} \cos(\mathbf{q} \cdot \mathbf{x} + \phi)) D_{m}(\mathbf{x}), & \gamma_{m} &= (2n_{m} - 1), \\ \sigma_{x,a}^{\epsilon}(\mathbf{x}) &= (1 + \epsilon \beta_{x} \cos(\mathbf{q} \cdot \mathbf{x} + \phi)) \sigma_{x,a}(\mathbf{x}), & \beta_{x} &= (2n_{x} + 1), \\ \sigma_{m,a}^{\epsilon}(\mathbf{x}) &= (1 + \epsilon \beta_{m} \cos(\mathbf{q} \cdot \mathbf{x} + \phi)) \sigma_{m,a}(\mathbf{x}), & \beta_{m} &= (2n_{m} + 1), \\ \sigma_{x,f}^{\epsilon}(\mathbf{x}) &= (1 + \epsilon \beta_{f} \cos(\mathbf{q} \cdot \mathbf{x} + \phi)) \sigma_{x,f}(\mathbf{x}), & \beta_{f} &= (2n_{f} + 1). \end{split}$$

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• excitation process (subscripted by x):

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• emission process (subscripted by m):

$$\left( \begin{array}{cc} -\nabla \cdot D_m^{\epsilon} \nabla w^{\epsilon} + (\sigma_{m,a}^{\epsilon} + \sigma_{m,f}) w^{\epsilon} &= \eta \, \sigma_{x,f}^{\epsilon} \, u^{\epsilon} & \text{in } \Omega \\ w^{\epsilon} &= 0 & \text{on } \partial\Omega. \end{array} \right)$$

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**Meassurement:** boundary photon currents  $(D_x^{\epsilon}\partial_{\nu}u^{\epsilon}, D_x^{\epsilon}\partial_{\nu}w^{\epsilon})|_{\partial\Omega}$ .

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**Our strategy:** recover  $\sigma_{x,f}$  from the excitation process, then  $\eta$  from the emission process.







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# Derivation of Internal Data: I

For fixed boundary illumination g,

$$\int_{\Omega} (D_x^{\epsilon} - D_x^{-\epsilon}) \nabla u^{\epsilon} \cdot \nabla u^{-\epsilon} + (\sigma_x^{\epsilon} - \sigma_x^{-\epsilon}) u^{\epsilon} u^{-\epsilon} d\mathbf{x} = \int_{\partial \Omega} (D_x^{\epsilon} \partial_{\nu} u^{\epsilon}) u^{-\epsilon} - (D_x^{-\epsilon} \partial_{\nu} u^{-\epsilon}) u^{\epsilon} d\mathbf{x}$$

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RHS is known. LHS has leading coefficient

$$J_1(\mathbf{q},\phi) = \int_{\Omega} \left( \gamma_x D_x |\nabla u|^2 + (\beta_x \sigma_{x,a} + \beta_f \sigma_{x,f}) |u|^2 \right) \cos(\mathbf{q} \cdot \mathbf{x} + \phi) d\mathbf{x}.$$

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Varying  ${\bf q}$  and  $\phi$  gives the Fourier transform of

 $Q(\mathbf{x}) := \gamma_{x} D_{x} |\nabla u|^{2} + (\beta_{x} \sigma_{x,a} + \beta_{f} \sigma_{x,f}) |u|^{2} \quad \text{ in } \Omega,$ 

where *u* is the unpertubed solution (i.e.,  $\epsilon = 0$ ).

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where *u* is the unpertubed solution (i.e.,  $\epsilon = 0$ ).

**Observation:** if *u* can be recovered from *Q*, so can  $\sigma_{x,f}$ .

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# Inverse Problems Recast

#### **Inverse Problem Recast:** recover u from Q. Recall

$$\begin{cases} -\nabla \cdot D_x \nabla u + (\sigma_{x,a} + \sigma_{x,f})u = 0 & \text{in } \Omega \\ u = g & \text{on } \partial \Omega. \end{cases}$$

and the internal data is

$$Q(\mathbf{x}) := \gamma_{x} D_{x} |\nabla u|^{2} + (\beta_{x} \sigma_{x,a} + \beta_{f} \sigma_{x,f}) |u|^{2} \quad \text{ in } \Omega.$$

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# Inverse Problems Recast

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$$Q(\mathbf{x}) := \gamma_{\mathbf{x}} D_{\mathbf{x}} |\nabla u|^2 + (\beta_{\mathbf{x}} \sigma_{\mathbf{x}, \mathbf{a}} + \beta_{\mathbf{f}} \sigma_{\mathbf{x}, \mathbf{f}}) |u|^2 \quad \text{ in } \Omega.$$

β<sub>f</sub> = 0: solving a Hamilton-Jacobi equation to find u;
β<sub>f</sub> ≠ 0: eliminating σ<sub>x,f</sub> through substitution.

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# Recovery of $\sigma_{x,f}$ : uniqueness

• 
$$\beta_f \neq 0$$
 (conti.ed):

set 
$$\theta := \frac{\beta_f - \gamma_x}{\beta_f + \gamma_x}$$
 and  $\Psi := u^{\frac{2}{1+\theta}}$ 

$$\begin{cases} \nabla \cdot D_{x} \nabla \Psi = \underbrace{-\frac{2}{1+\theta} \sigma_{x,a} \left(\frac{\beta_{x}}{\beta_{f}} - 1\right)}_{:=b} \Psi + \underbrace{\frac{2}{1+\theta} \frac{Q}{\beta_{f}}}_{:=c} |\Psi|^{-(1+\theta)} \Psi \\ \Psi = g^{\frac{2}{1+\theta}} \end{cases}$$

#### Theorem (Li-Y.-Zhong, 2018)

The semi-linear elliptic BVP has a unique positive weak solution  $\Psi \in H^1(\Omega)$  in either of the following cases:

Case (1): 
$$-1 \neq \theta < 0$$
,  $b \ge 0$  and  $c \ge 0$ ;

Case (2):  $\theta \ge 0$ ,  $b \ge 0$  and  $c \le 0$ .

# Recovery of $\sigma_{x,f}$ : stability and reconstruction

#### Theorem (Li-Y.-Zhong, 2018)

In either Case (1) or Case (2), one has the stability estimate

$$\|\sigma_{\mathsf{x},\mathsf{f}} - \tilde{\sigma}_{\mathsf{x},\mathsf{f}}\|_{L^1(\Omega)} \leq C\left(\|Q - \tilde{Q}\|_{L^1(\Omega)} + \|Q - \tilde{Q}\|_{L^2(\Omega)}^2\right)$$

We further give three iterative algorithms with convergence proofs to reconstruct  $\sigma_{x,f}$ .

**Remark:** uniqueness and stability may fail if  $\theta$ , *b*, *c* violate the conditions.

# Recovery of $\eta$

Sketch of procedures:

derive an integral identity from the emission process;



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# Recovery of $\eta$

Sketch of procedures:

- derive an integral identity from the emission process;
- derive an internal functional S from the leading order term of the identity;

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Sketch of procedures:

- derive an integral identity from the emission process;
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- rewrite the equations for u and w to obtain a Fredholm type equation

$$\mathcal{T}\eta = S;$$

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# Recovery of $\eta$

Sketch of procedures:

- derive an integral identity from the emission process;
- derive an internal functional S from the leading order term of the identity;
- rewrite the equations for u and w to obtain a Fredholm type equation

$$\mathcal{T}\eta = S;$$

• if 0 is not an eigenvalue of  $\mathcal{T}$ , then uniqueness, stability and reconstruction are immediate.

# Numerical examples

Domain:  $[-0.5, 0.5]^2$ ; excitation source:  $g(x, y) = e^{2x} + e^{-2y}$ .

The domain is triangulated into 37008 triangles and uses 4-th order Lagrange finite element method to solve the equations.

$$\begin{split} D_x &\equiv 0.1, \qquad D_m = 0.1 + 0.02 \cos(2x) \cos(2y), \\ \sigma_{x,a} &\equiv 0.1, \qquad \sigma_{m,a} = 0.1 + 0.02 \cos(4x^2 + 4y^2). \end{split}$$

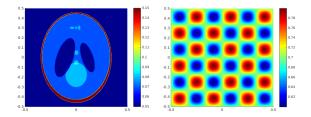


Figure: Left: The absorption coefficient  $\sigma_{x,f}$  of fluorophores. Right: The quantum efficiency coefficient  $\eta$ .

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### Numerical examples- Case I-1

$$\gamma_x = -2.6, \ \gamma_m = -2.4, \ \beta_x = -0.6, \ \beta_m = -0.4, \ \beta_f = -0.8 \text{ and} \ \tau = 3.25. \ \mu = -0.25 \text{ and} \ \theta = -\frac{9}{17}.$$

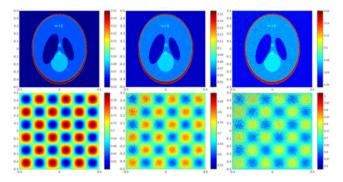


Figure 2: The reconstruction of  $\sigma_{x,f}$  and  $\eta$  in Example I. First row, from left to right, 0%, 1%, 2% random noises are added to the internal data Q and the relative  $L^1$  errors of reconstructed  $\sigma_{x,f}$  are 0.000132%, 3.88%, 7.76% respectively. Second row, from left to right, assuming the knowledge of  $\sigma_{x,f}$  from the first row, 0%, 1%, 2% random noises are added to the internal data S. The relative  $L^2$  errors of reconstructed  $\eta$  are 0.00313%, 5.60%, 11.7% respectively.

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### Numerical examples- Case I-2

$$\gamma_x = -1.4, \ \gamma_m = 0.0, \ \beta_x = 0.6, \ \beta_m = 2.0, \ \beta_f = 0.4 \text{ and } \tau = -3.5.$$
  
 $\mu = 0.5 \text{ and } \theta = -\frac{9}{5}.$ 

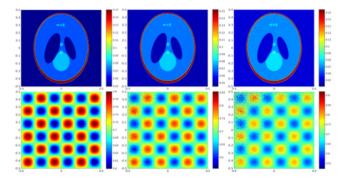


Figure 3: The reconstruction of  $\sigma_{x,f}$  and  $\eta$  in Example II. First row, from left to right, 0%, 1%, 2% random noises are added to the internal data Q and the relative  $L^1$  errors of reconstructed  $\sigma_{x,f}$  are 0.0086%, 2.62%, 5.27% respectively. Second row, from left to right, assuming the knowledge of  $\sigma_{x,f}$  from the first row, 0%, 1%, 2% random noises are added to the internal data S. The relative  $L^2$  errors of reconstructed  $\eta$  are 0.0150%, 4.23%, 8.89% respectively.

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### Numerical examples- Case II

$$\gamma_x = 0.2, \ \gamma_m = 0.6, \ \beta_x = 2.2, \ \beta_m = 2.6, \ \beta_f = -0.3 \text{ and } \tau = -\frac{2}{3}.$$
  
 $\mu = -\frac{25}{8} \text{ and } \theta = 5.$ 

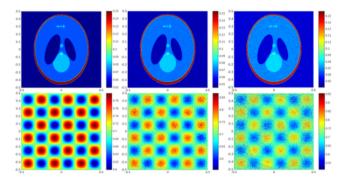


Figure 4: The reconstruction of  $\sigma_{x,f}$  and  $\eta$  in Example III. First row, from left to right, 0%, 1%, 2% random noises are added to the internal data Q and the relative  $L^1$  errors of reconstructed  $\sigma_{x,f}$  are 0.00147%, 3.68%, 7.38% respectively. Second row, from left to right, assuming the knowledge of  $\sigma_{x,f}$  from the first row, 0%, 1%, 2% random noises are added to the internal data S. The relative  $L^2$  errors of reconstructed  $\eta$  are 0.00392%, 4.65%, 9.48% respectively.

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# Thank you for the attention!



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