An optimization framework for one-step spectral CT image reconstruction and current challenges: Three material decomposition

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Allan Cormack on the Radon Transform

1973 Physics in Medicine and Biology

"It has recently come to the author's attention that the problem of determining a function in a plane from its line integrals was first solved by J. Radon in 1917 (and not in the nineteenth century, as suggested in Cormack (1963))"

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1963 Journal of Applied Physics

"Is it possible to determine g? One would think that this problem would be a standard part of the nineteenth century mathematical repertoire, but the author has found no reference to it in standard works."

Outline

One-step spectral CT image reconstruction (OSSCIR)

MOCCA algorithm & block-diagonal step-preconditioning

Spectral calibration

Studies with multi-material basis decomposition Experimental results for objects with metal and Gadolinium contrast agent

Spectral CT model materials decomposition

$$I_{w,s,u} = \int dE \, S_{w,u}(E) \exp\left[-\int_{\ell(s,u)} dt \, \mu(E, \vec{r}(t))\right]$$

$$\mu(E, \vec{r}(t)) = \sum_{m} \left(\frac{\mu_m(E)}{\rho_m}\right) \rho_m f_m(\vec{r}(t))$$

photon-counting model:

$$\hat{c}_{w,l}(f_{km}) = S_{w,u,i} \exp\left[-\mu_{im} X_{lk} f_{km}\right]$$

w - energy window *l* - transmission ray, depends on *s*,*u i* - energy index *m* - material label *k* - pixel index

Spectral CT model with spectral scaling

photon-counting model:
$$\hat{c}_{w,l}(f) = S_{w,u,i} \exp\left[-\mu_{im} X_{lk} f_{km}\right]$$

photon-counting model with scaling:

$$\hat{c}_{w,l}(f,\alpha) = S_{w,u,i} \exp\left[-\alpha_{w,u} - \mu_{im} X_{lk} f_{km}\right]$$

Constrained one-step spectral CT image reconstruction (cOSSCIR)

 $f^*, \alpha^* = \operatorname{argmin} D_{\mathrm{TPL}}(c, \hat{c}(f, \alpha))$ constraints $\|\alpha\|_2 \leq \delta; \|\nabla f'_m\|_1 \leq \gamma_m$

* D_{TPL} is a nonconvex function

- use mirrored convex/concave (MOCCA) alg.
- * constraint parameters
 - use validation

Ring artifact mitigation with partial spectrum auto-calibration



Schmidt et al., TMI vol. 36, 1808-1819 (2017)

cOSSCIR and contrast agent imaging



Ideal photon-counting spectral response



Barber et al., PMB vol. 61, 3784-3818 (2016)

Rods phantom with realistic spectra



realistic spectra



D_{TPL} minimization noiseless data



MOCCA algorithm & block-diagonal step-preconditioning

Chambolle-Pock primal-dual algorithm summary

optimization problems:

* = argmin {
$$F(Kx) + G(x)$$
}
 x^* = argmin $F(Kx)$
 $\min_x \max_y \{y^T Kx - F^*(y)\}$

pseudocode: $y_{n+1} = \operatorname{prox}_{\Sigma}[F^*](y_n + \Sigma K \overline{x}_n)$ $x_{n+1} = x_n - T K^T y_{n+1}$ $\overline{x}_{n+1} = x_{n+1} + \theta(x_{n+1} - x_n)$ <u>two step-size choices</u>

step-size matrices:
$$\begin{pmatrix} T^{-1} & -K^{\top} \\ -K & \Sigma^{-1} \end{pmatrix}$$
 is PSD $\sigma = (1/\lambda)/\|K\|_2 \quad \tau = \lambda/\|K\|_2$
 $\Sigma = (1/\lambda) \operatorname{diag}(|K|\mathbf{1})^{-1} \quad T = \lambda \operatorname{diag}(|K^{\top}|\mathbf{1})^{-1}$

definitions: $F^* = \max \left\{ x'^T x - F(x') \right\}$ $\operatorname{prox}_{\Sigma}[F^*](x) = \operatorname{argmin} \left\{ F^*(x') + \frac{1}{2}(x - x')^\top \Sigma^{-1}(x - x') \right\}$

MOCCA

optimization problem: $x^{\star} = \operatorname{argmin} F(Kx)$

F(Kx) is a convex function of x F(y) is convex-concave: $F(y) = F_+(y) - F_-(y)$, where $F_+(y)$ and $F_-(y)$ are convex

$$F(Kx) \neq \max_{y} \left\{ y^T K x - F^*(y) \right\}$$

MOCCA step sizes

 $L_{\rm LSQ}(f) = \frac{1}{2} \|Xf - g\|_2^2$ $\partial L_{\rm LSQ}(f) = X^{\top} (Xf - g) = X^{\top} r_{\rm LSQ}(f)$

 $L_{\text{TPL}}(f) = D_{\text{TPL}}(c, \hat{c}(f))$ $\partial L_{\text{TPL}}(f) = X^{\top} \mu^{\top} A(f)^{\top} r_{\text{TPL}}(f)$

 $K(f) = A(f)\mu X$ $\Sigma = (1/\lambda) \operatorname{diag}(|K(f)|\mathbf{1})^{-1} \quad T = \lambda \operatorname{diag}(|K^{\top}(f)|\mathbf{1})^{-1}$

$K_{w\ell,mk}(f)$

- *w* energy window
- *l* transmission ray
- *m* material label
- *k* pixel index

Chest phantom with ideal spectra



Ideal photon-counting spectral response



results obtained within 1,000 iterations using μ -preconditioning

Rods phantom with realistic spectra



realistic spectra



D_{TPL} minimization noiseless data μ -PC 001 200 1000 2000

Iteration sequence

Block-diagional step-preconditioning

CP step-preconditioner

 $K(f) = A(f)\mu X$

 $\Sigma = (1/\lambda) \operatorname{diag}(|K(f)|\mathbf{1})^{-1} \quad T = \lambda \operatorname{diag}(|K^{\top}(f)|\mathbf{1})^{-1}$

proposed CP step-preconditioner

$$\left(\Sigma^{-1}\right)_{w\ell,w'\ell'} = \lambda \sum_{k} \sqrt{\sum_{m} K_{w\ell,mk}^2(f_0) \mathbf{I}_{w\ell,w'\ell'}}$$

$$(T^{-1})_{mk,m'k'} = \frac{1}{\lambda} \sum_{w,\ell} \frac{K_{w\ell,mk}(f_0) K_{w\ell,m'k}(f_0)}{\sqrt{\sum_{m''} K_{w\ell,m''k}^2(f_0)}} \mathbf{I}_{k,k'}$$

invariance to orthogonal transformation

Comparison of μ -PC and BD-SPC



Convergence comparison





Multi-basis-material projects

* Contrast agent imaging

- experiment with Gd imaging (3 materials)
- simulation with Gd and I imaging (4 materials)
- * Spectral CT with metal
 - experiment with decomposition into water, bone, and stainless steel

Rod phantom experiment with metal



4-window transmission data. Masked: measurements with <10 counts eliminated.

Other algorithm details

photon-counting model:

$$\hat{c}_{w,l}(f_{km}) = S_{w,u,i} \text{ softexp} \left[-\mu_{im} X_{lk} f_{km}\right]$$

softexp
$$(x) = \begin{cases} \exp(x) & x \le 0\\ x+1 & x > 0 \end{cases}$$

Group total variation (GTV):

$$f^{\star}, \alpha^{\star} = \operatorname{argmin} D_{\mathrm{TPL}}(c, \hat{c}(f, \alpha))$$

constraints $\|\alpha\|_{2} \leq \delta; \sum_{m} \|\nabla f'_{m}\|_{1} \leq \gamma$

System calibration

Images for GTV=2000



Aluminum [0, 0.5]



PMMA [0, 2]



Gd [0, .02]



System calibration

$$I_{w,s,u} = \int dE \, S_{w,u}(E) \exp\left[-\int_{\ell(s,u)} dt \, \mu(E, \vec{r}(t))\right]$$

$$I_{\text{ideal}} = Q(I_{\text{meas}})$$
$$S(E) = X_{\text{model}}(E)D_{\text{model}}(E)\exp[-\text{poly}(E)]$$

Two-step recons



Aluminum [0, 0.5]

PMMA [0, 2]

Gd [0, .02]

Spectrum + Dxray model + Nonlinear corr

Images for GTV=2000







$$I_{\text{ideal}} = Q(I_{\text{meas}})$$

 $S(E) = X_{\text{model}}(E)D_{\text{model}}(E) \exp[-\text{poly}(E)]$



$$I_{\text{ideal}} = Q(I_{\text{meas}})$$
$$S(E) = X_{\text{model}}(E) \exp[-\text{poly}(E)$$

Dxray model +Nonlinear corr (no source model)

Images for GTV=2000







$$I_{\text{ideal}} = Q(I_{\text{meas}})$$
$$S(E) = D_{\text{model}}(E) \exp[-\text{poly}(E)]$$

Spectrum + Dxray model (no nonlinear corr)

Images for GTV=2000





$$I_{\rm ideal} = I_{\rm meas}$$

 $S(E) = X_{\text{model}}(E)D_{\text{model}}(E) \exp[-\text{poly}(E)]$

Future directions

Get better PCD detector!