

Understanding Geometry of **Deep Neural Network** for CT Reconstruction

Jong Chul Ye, Ph.D

Endowed Chair Professor

BISPL - **B**io**I**maging, **S**ignal **P**rocessing, and **L**earning lab.

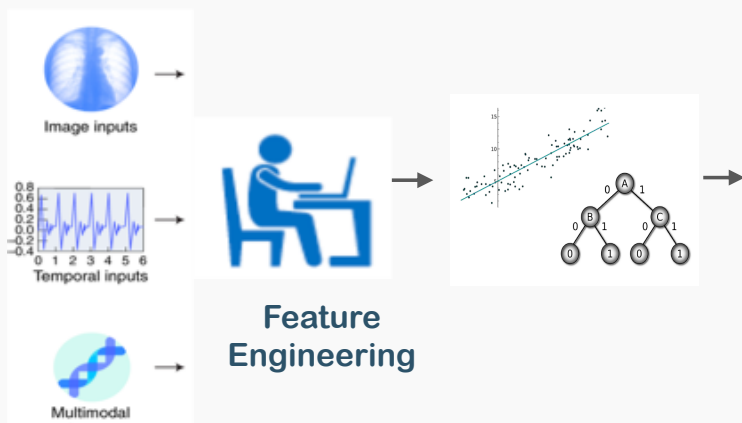
Dept. Bio & Brain Engineering

Dept. Mathematical Sciences

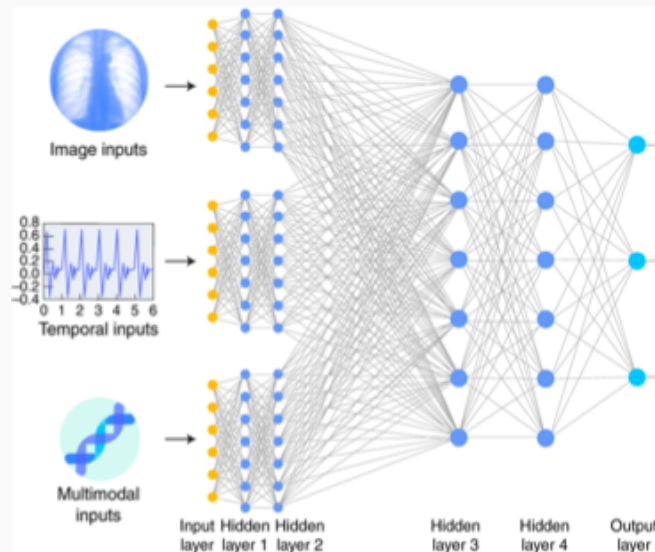
KAIST, Korea

Classical Learning vs Deep Learning

Classical machine learning



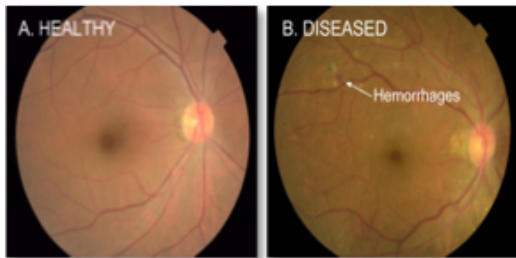
Deep learning (no feature engineering)



Esteva et al, Nature Medicine, (2019)

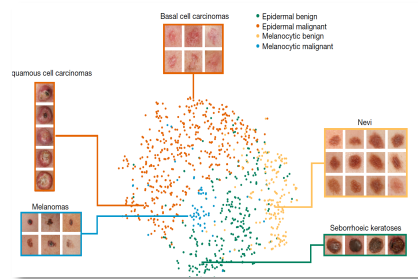
Deep Learning Era in Medical Imaging

Diabetic eye diagnosis



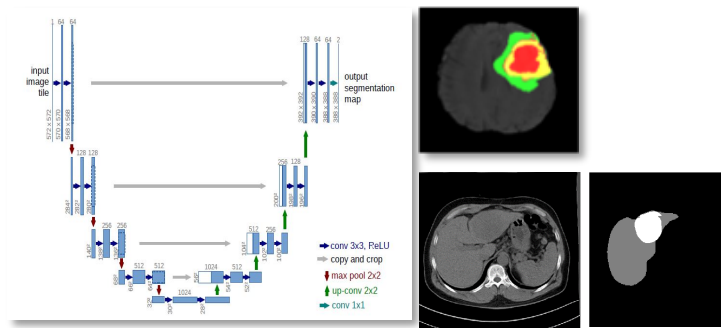
Gulshan, V. et al. JAMA (2016)

Skin Cancer diagnosis



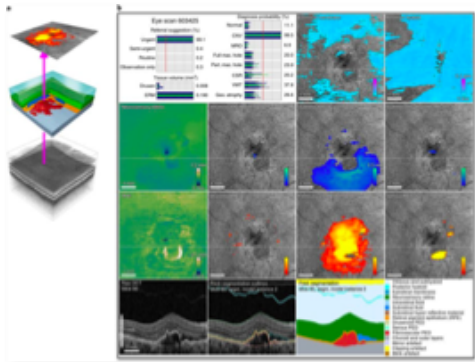
Esteva et al, Nature (2017)

Image segmentation



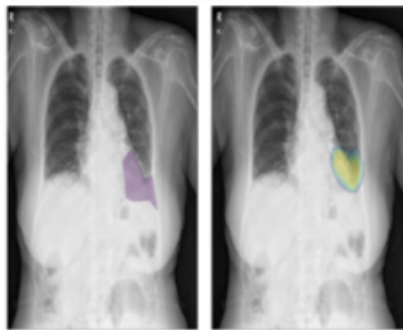
Ronneberger et al, MICCAI, 2015

OCT diagnosis



De Fauw et al, Nature Medicine (2018)

Chest X-ray



Courtesy of Kyu Hwan Jung @Vuno

Image registration

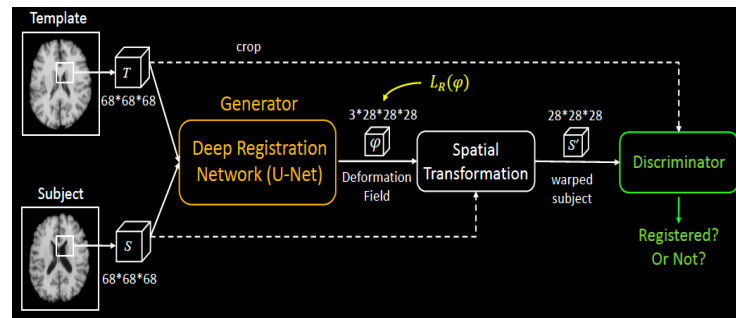
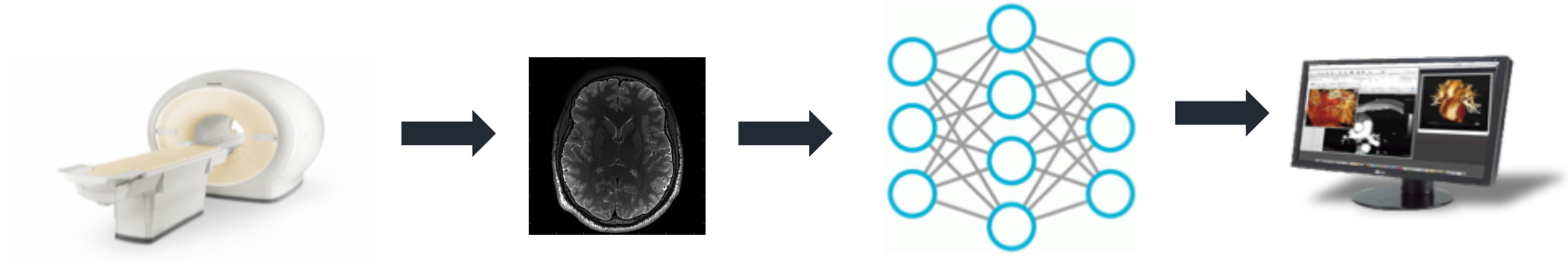


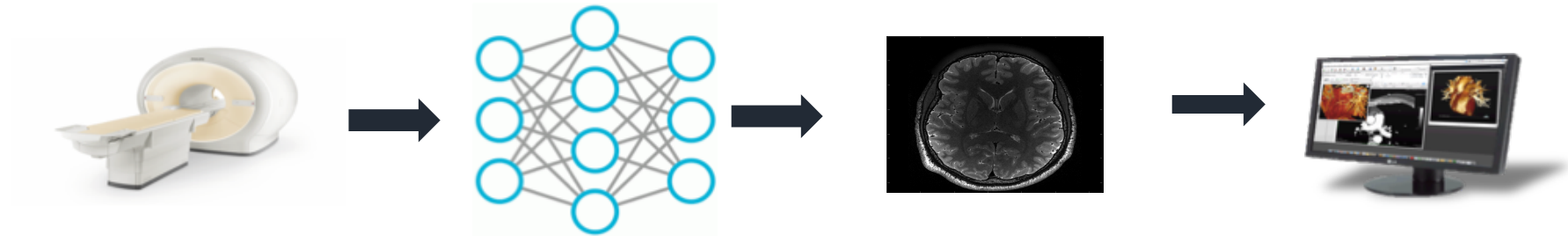
Figure courtesy of X. Cao & D. Shen

Deep Learning for Inverse Problems

Diagnosis & analysis



Focus of this talk: **Reconstruction**



Low Dose CT Grand Challenge



- Radiologist-selected abdominal CT patient cases (10 training, 20 testing) with noise inserted to simulate lower dose acquisitions
- Projection data converted into an open format (user manual and reading tools provided)
- Apr 2016: Participants submit reconstructed images or denoised images to AAPM website



A deep convolutional neural network using directional wavelets for low-dose X-ray CT reconstruction

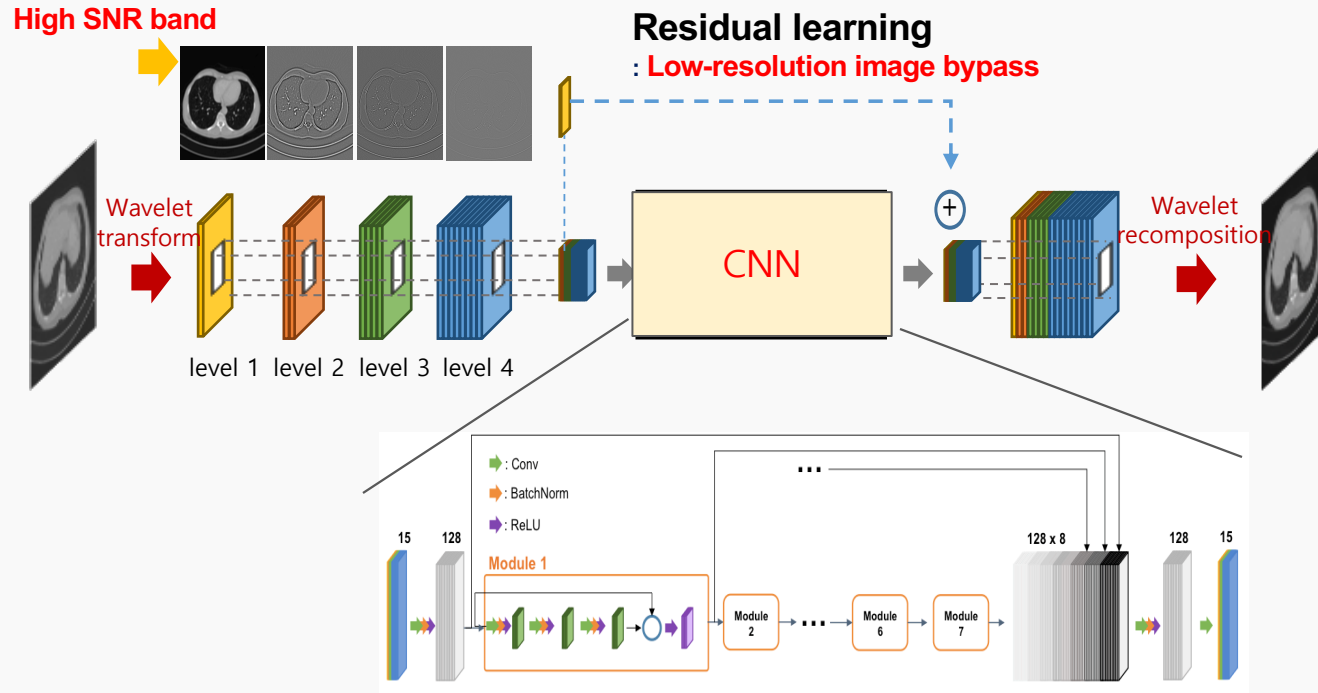
Med. Phys. 44 (10), October 2017

Eunhee Kang,^{*} Junhong Min,^{*} and Jong Chul Ye^{a)}

Bio Imaging and Signal Processing Lab., Dept. of Bio and Brain Engineering, KAIST, Daejeon, Korea

www.aapm.org/GrandChallenge/LowDoseCT

AAPM-Net: 1st deep network for low-dose CT



Case : L506

Full dose



Quarter dose

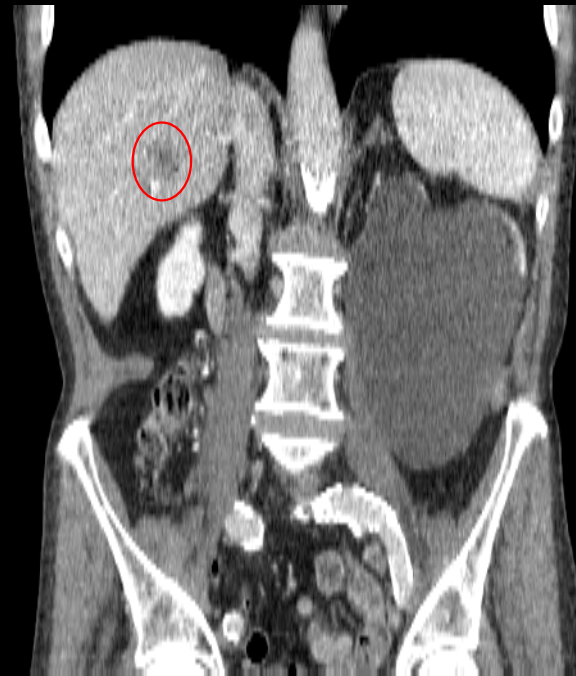


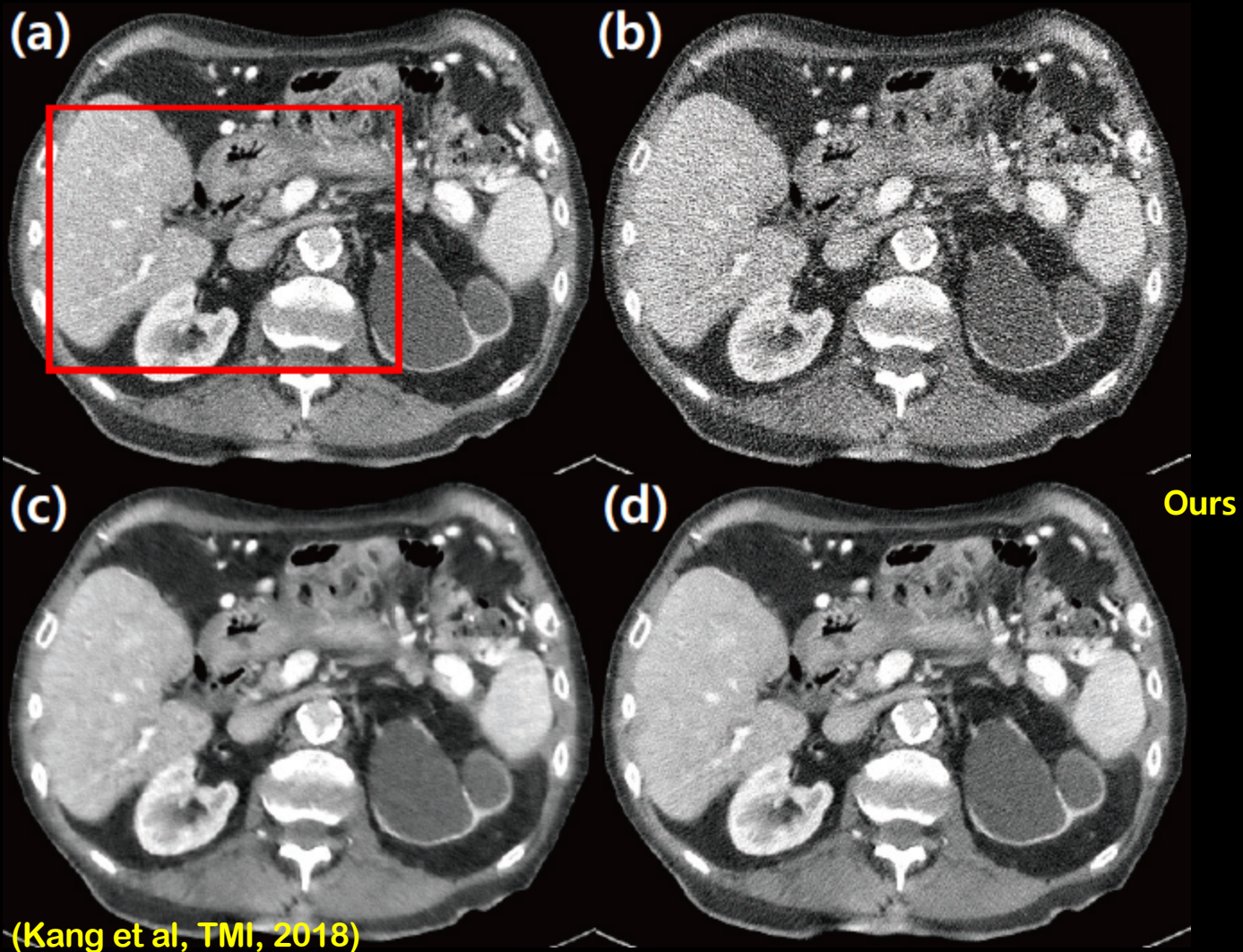
Case : L506

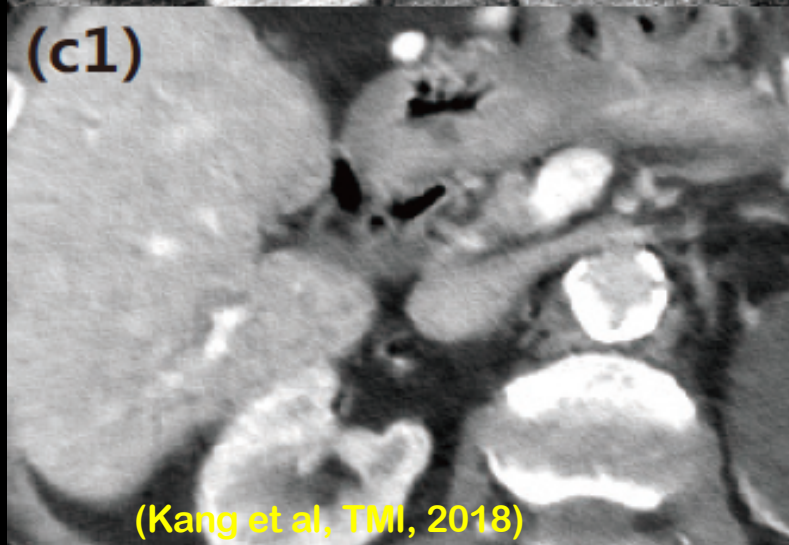
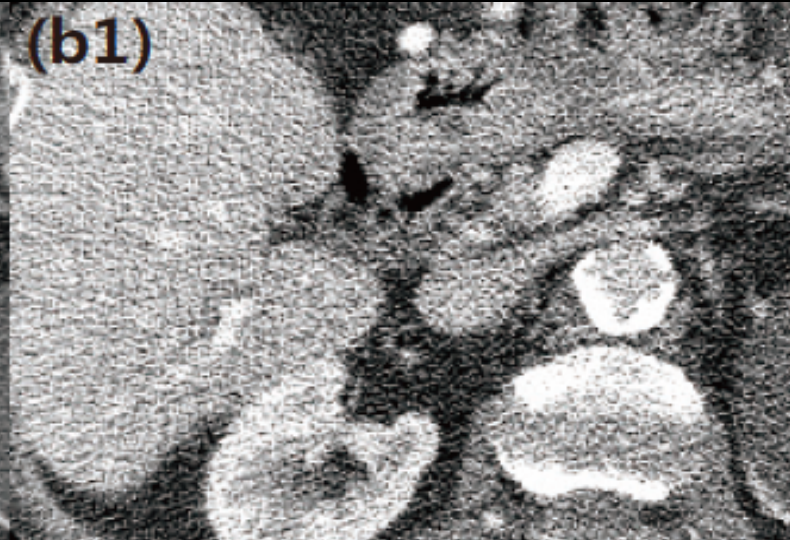
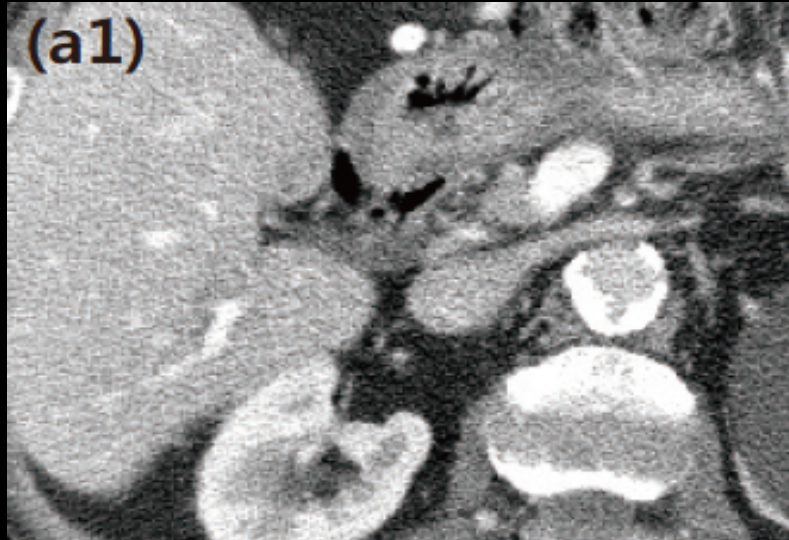
Full dose



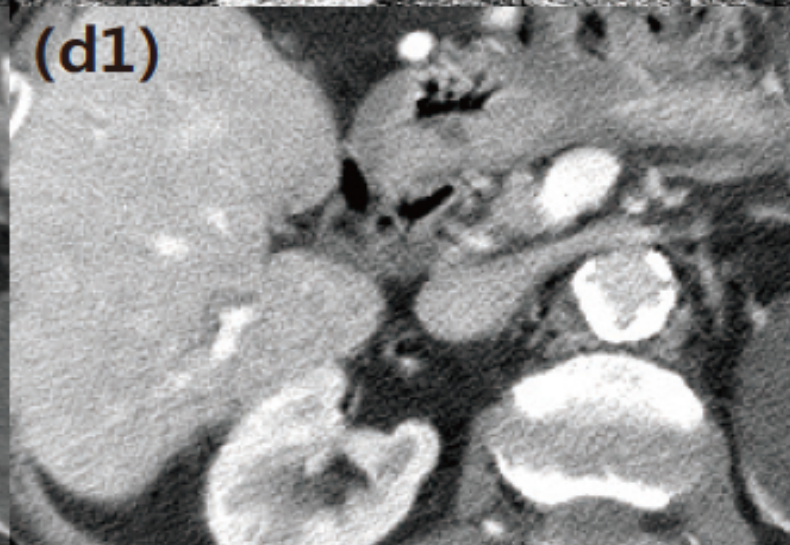
Ours from Quarter dose







(Kang et al, TMI, 2018)



Ours

(a)

MBIR

(b)

Ours

(Kang et al, TMI, 2018)

Encoder-Decoder Network for Low-Dose CT

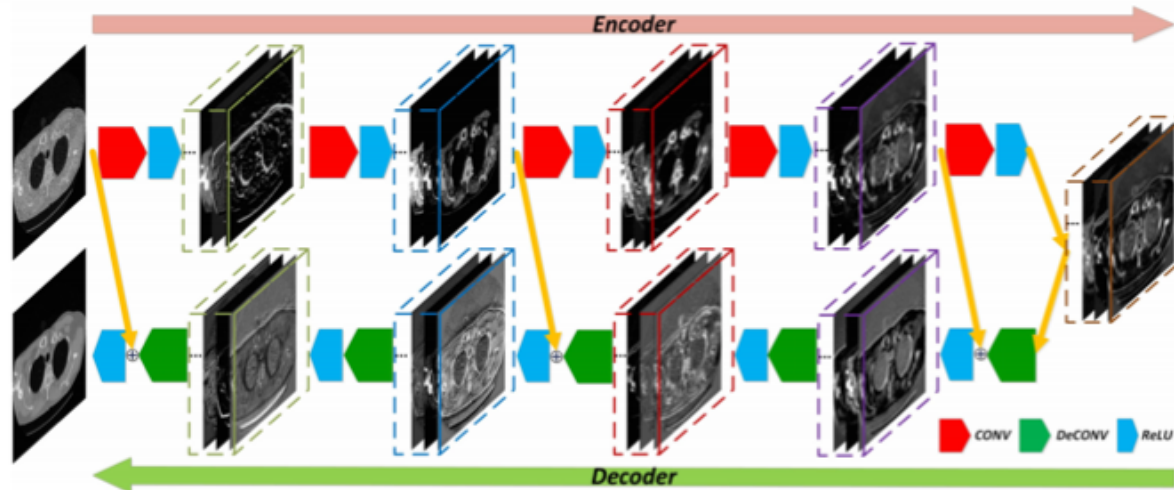
2524

IEEE TRANSACTIONS ON MEDICAL IMAGING, VOL. 36, NO. 12, DECEMBER 2017



Low-Dose CT With a Residual Encoder-Decoder Convolutional Neural Network

Hu Chen, Yi Zhang, *Member, IEEE*, Mannudeep K. Kalra, Feng Lin, Yang Chen, Peixi Liao, Jiliu Zhou, *Senior Member, IEEE*, and Ge Wang, *Fellow, IEEE*



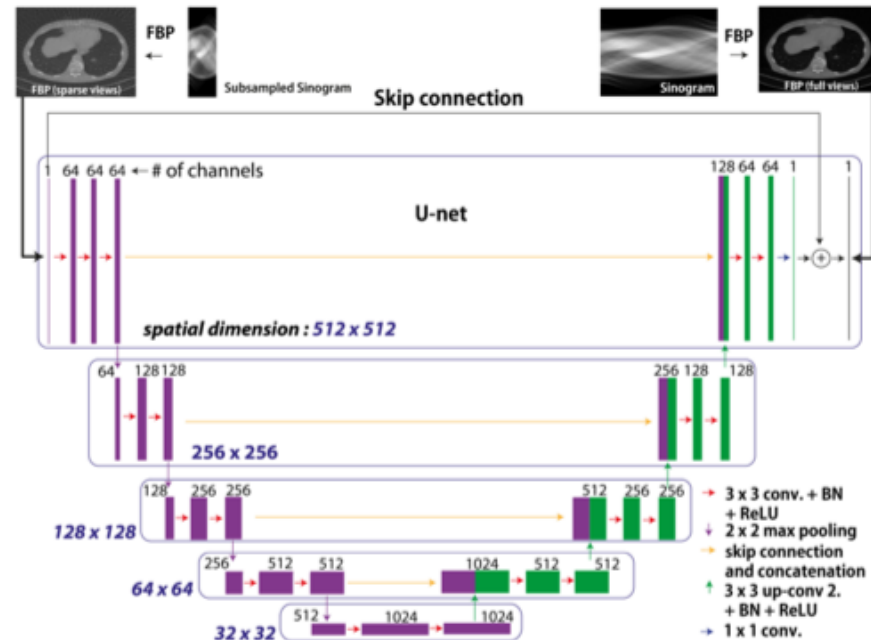
FBPConvNet

IEEE TRANSACTIONS ON IMAGE PROCESSING, VOL. 26, NO. 9, SEPTEMBER 2017

4509

Deep Convolutional Neural Network for Inverse Problems in Imaging

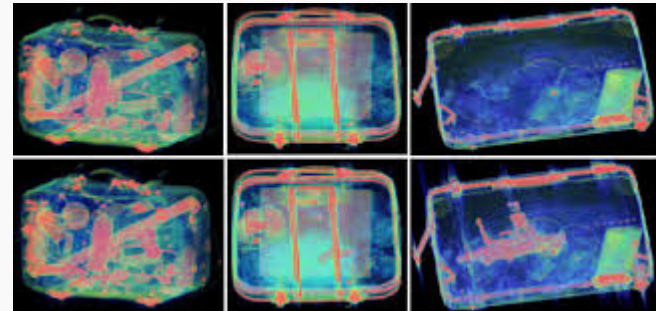
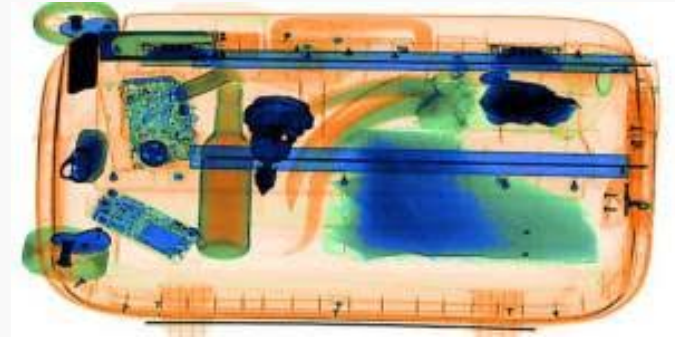
Kyong Hwan Jin, Michael T. McCann, *Member, IEEE*, Emmanuel Froustey, and Michael Unser, *Fellow, IEEE*



Extreme Sparse View CT

Han et al, arXiv preprint arXiv:1712.10248, (2017); CT Meeting (2017)

Stationary CT Carry on baggage scanner

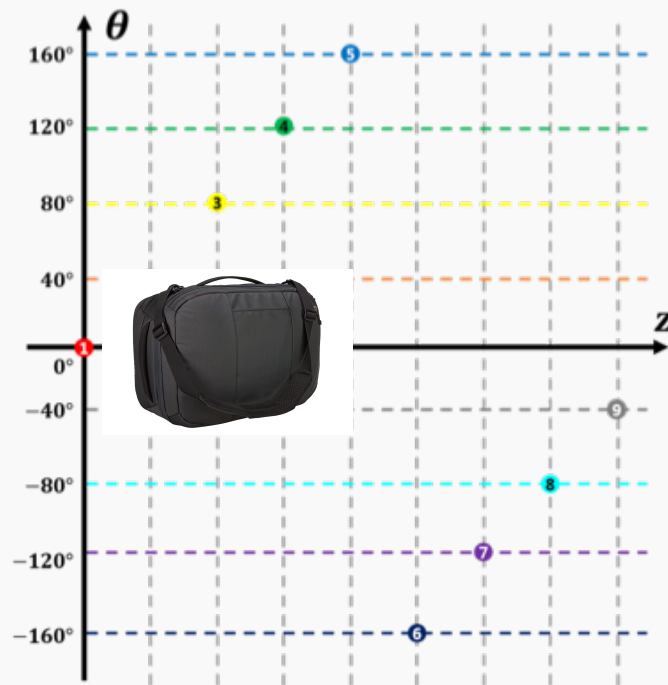


Figures from internet

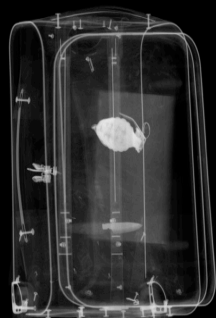
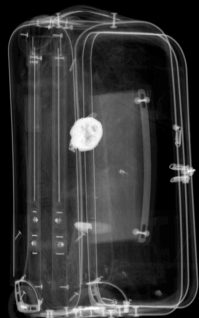
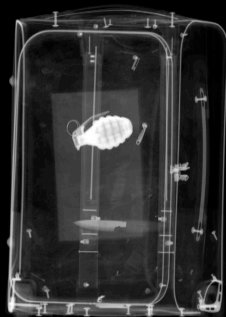
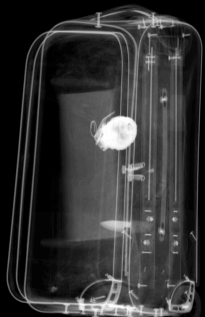
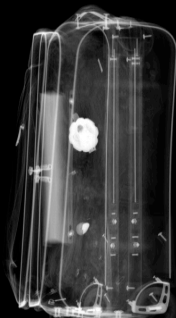
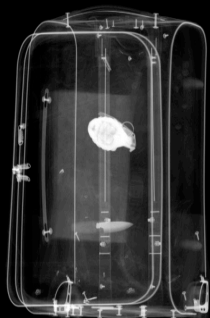
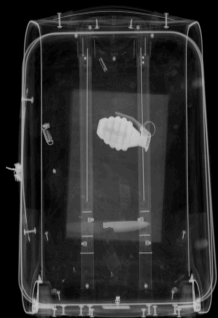
Source/detector configuration



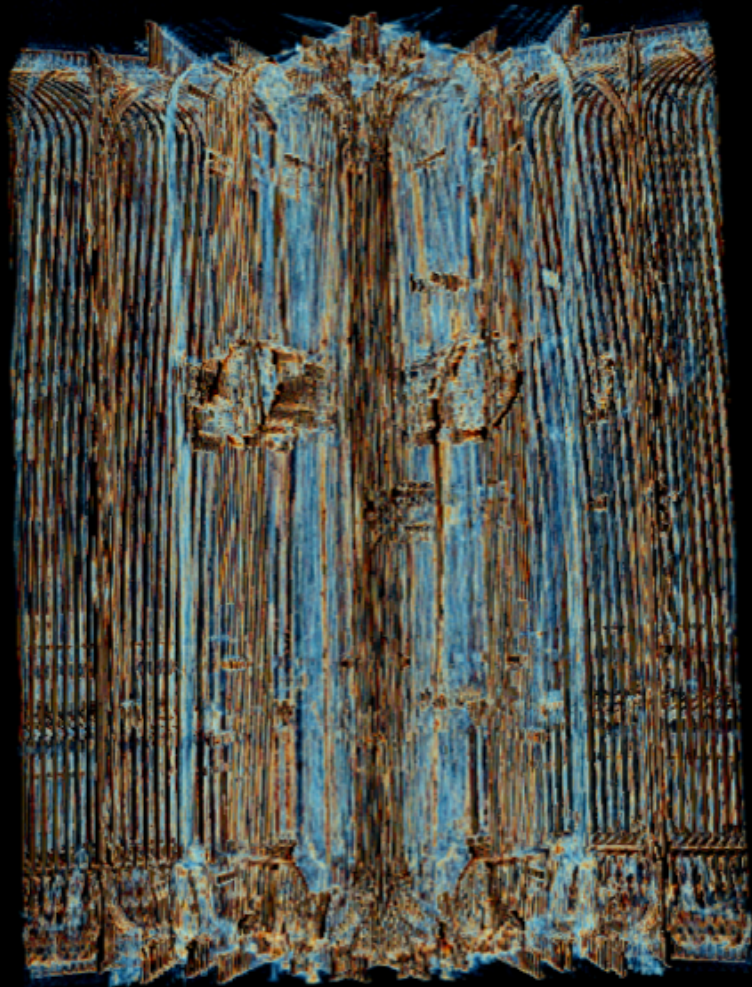
(a)



(b)



FBP

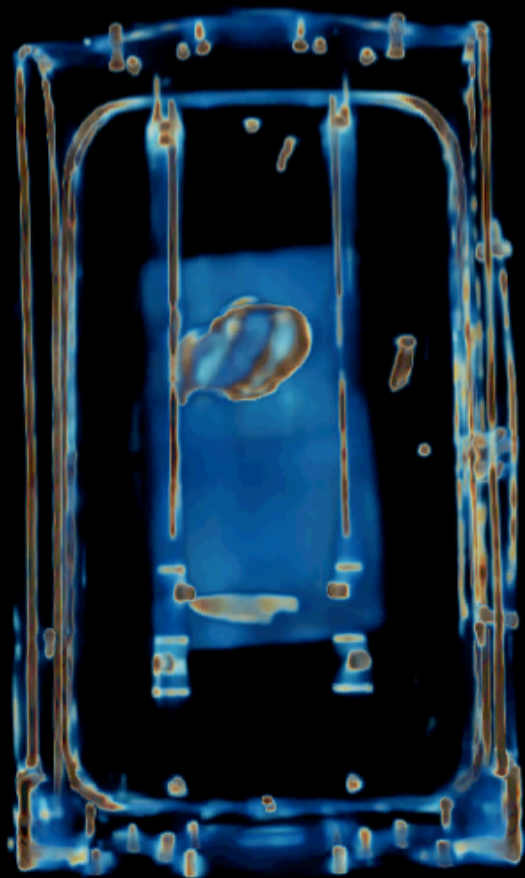


TV



Deep Learning

Han et al, CT meetings, 2018



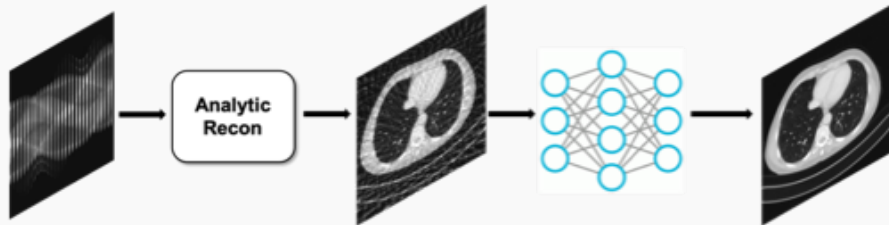
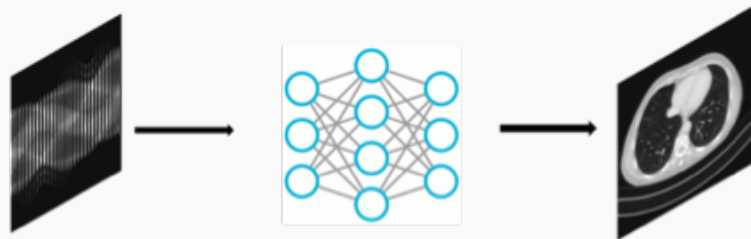
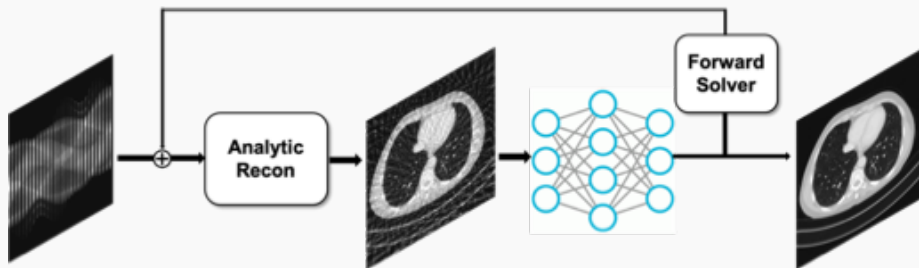
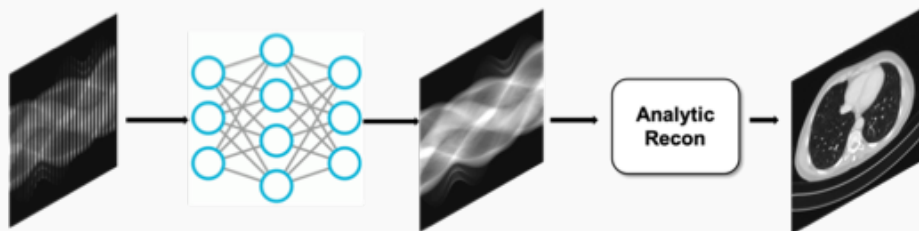


Image-domain learning

Hybrid-domain learning

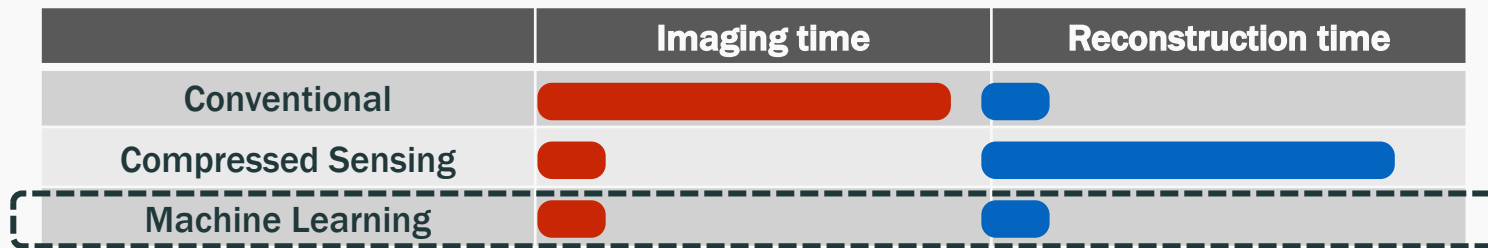


Domain-Transform learning



Sensor-domain learning

Why so popular this time ?



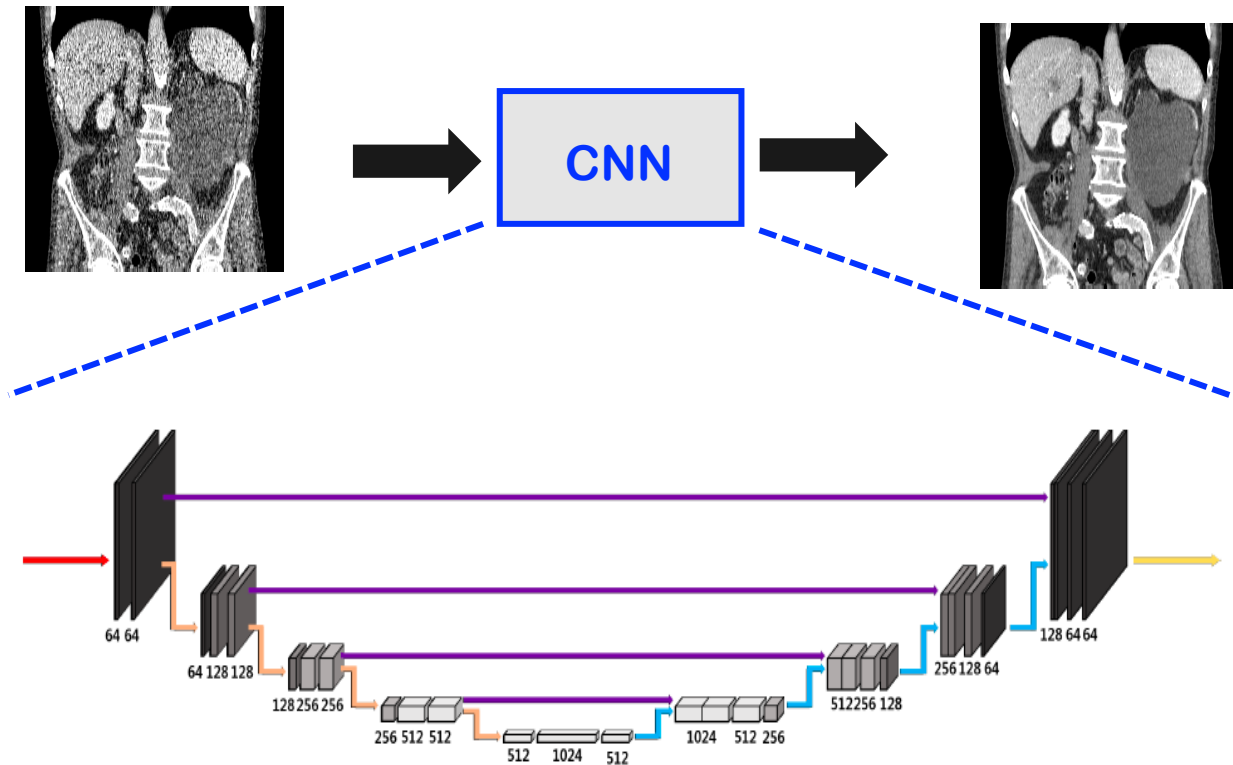
- ❑ **Accuracy:** high quality recon > CS
- ❑ **Fast** reconstruction time
- ❑ **Business model:** vendor-driven training
- ❑ **Interpretable** models
- ❑ **Flexibility:** more than recon

**DOES IT CREATE ANY ARTIFICIAL
FEATURES ?**

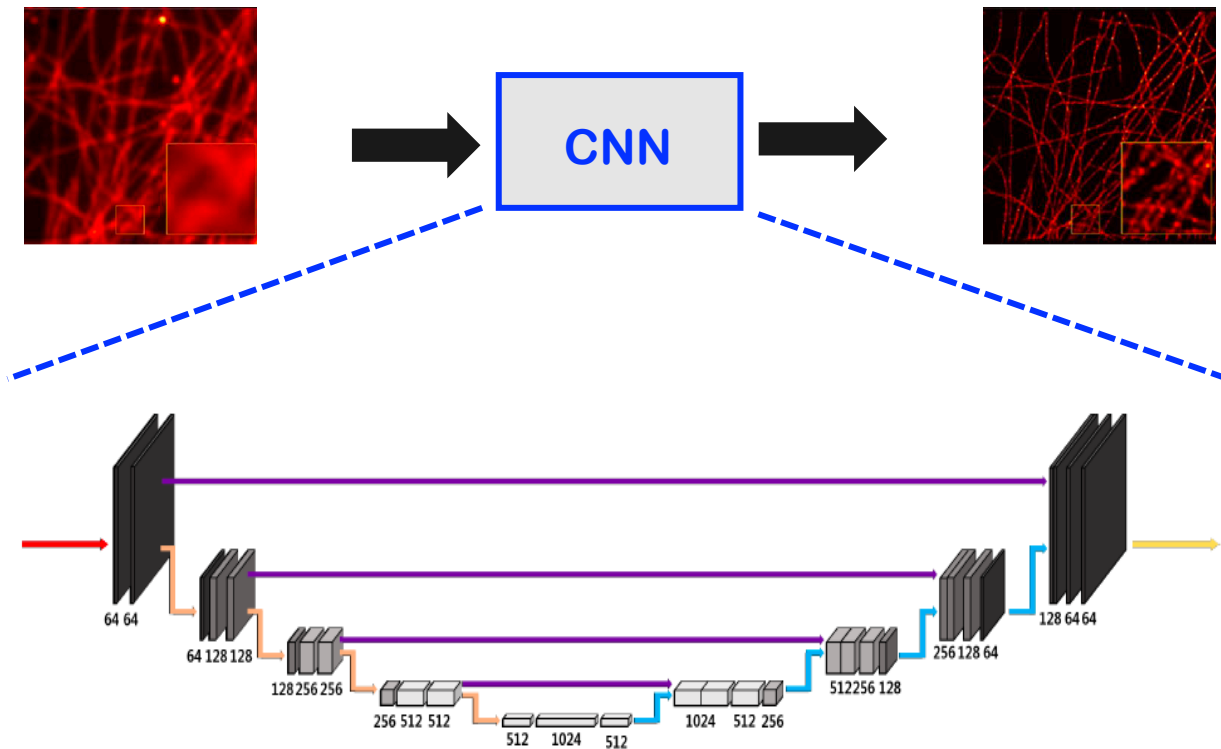
Understanding Geometry of CNN

Ye et al, SIAM J. Imaging Sciences, 2018; Ye et al, ICML, 2019

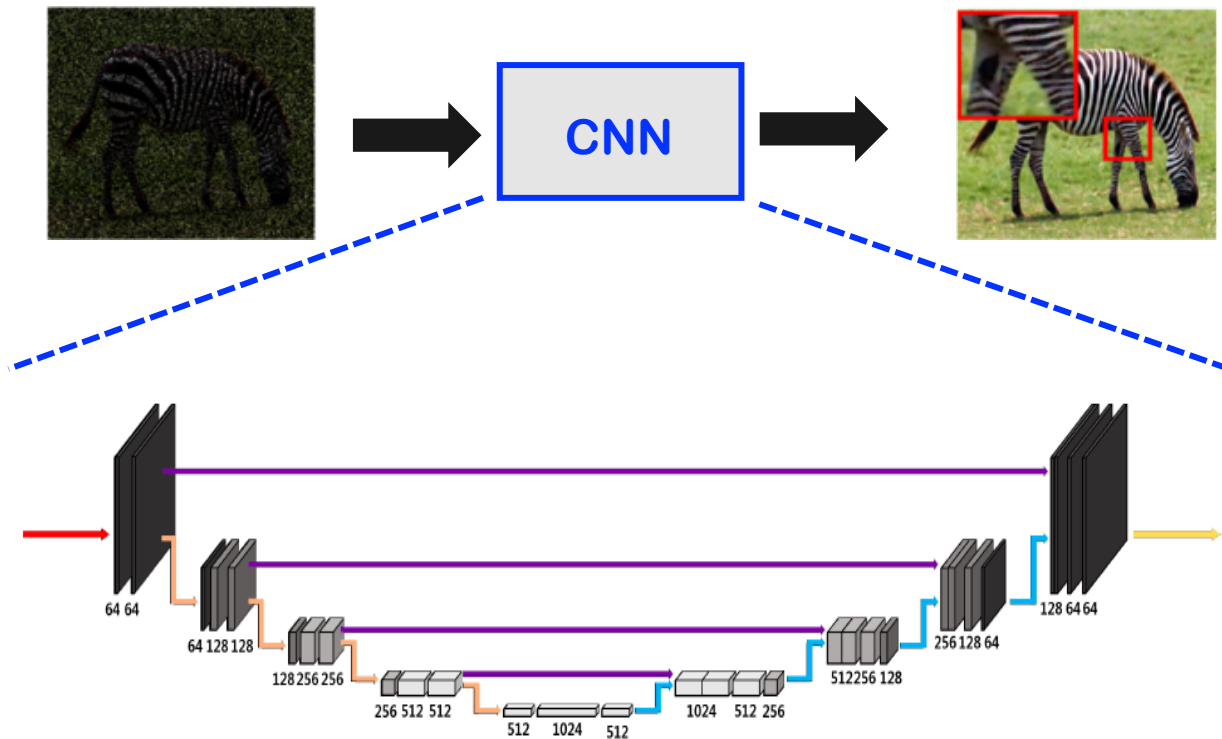
Encoder-Decoder CNN for Inverse Problems



Encoder-Decoder CNN for Inverse Problems



Encoder-Decoder CNN for Inverse Problems



Successful applications to various inverse problems

Why **Same** Architecture Works
for **Different** Inverse Problems ?

Classical Methods for Inverse Problems

Step 1: Signal Representation

$$x = \sum_i \langle b_i, x \rangle \tilde{b}_i$$

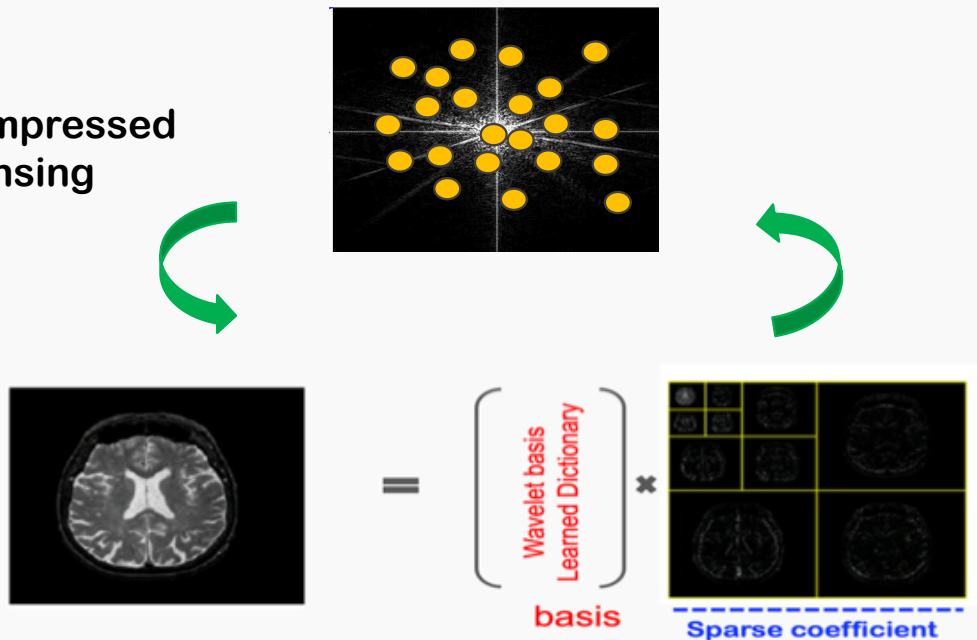
The diagram illustrates the signal representation equation $x = \sum_i \langle b_i, x \rangle \tilde{b}_i$ with several annotations:

- A green bracket above the inner product $\langle b_i, x \rangle$ is labeled "coefficients".
- A blue circle around b_i in the inner product is labeled "Analysis frame" with a blue arrow pointing to it.
- A red circle around $\tilde{b}_i$ is labeled "Synthesis frame" with a red arrow pointing to it.

Classical Methods for Inverse Problems

Step 2: Basis Search by Optimization

Eg. Compressed Sensing

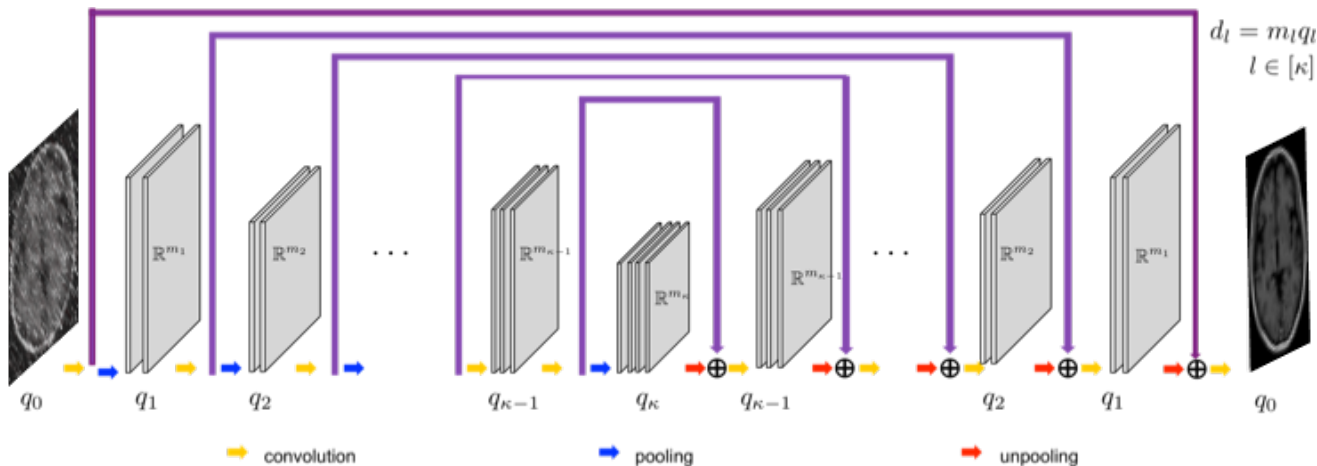


$$x = \sum_i \tilde{b}_i \langle b_i, x \rangle$$

Why do They Look so Different ?
Any Link between Them ?

Our Theoretical Findings

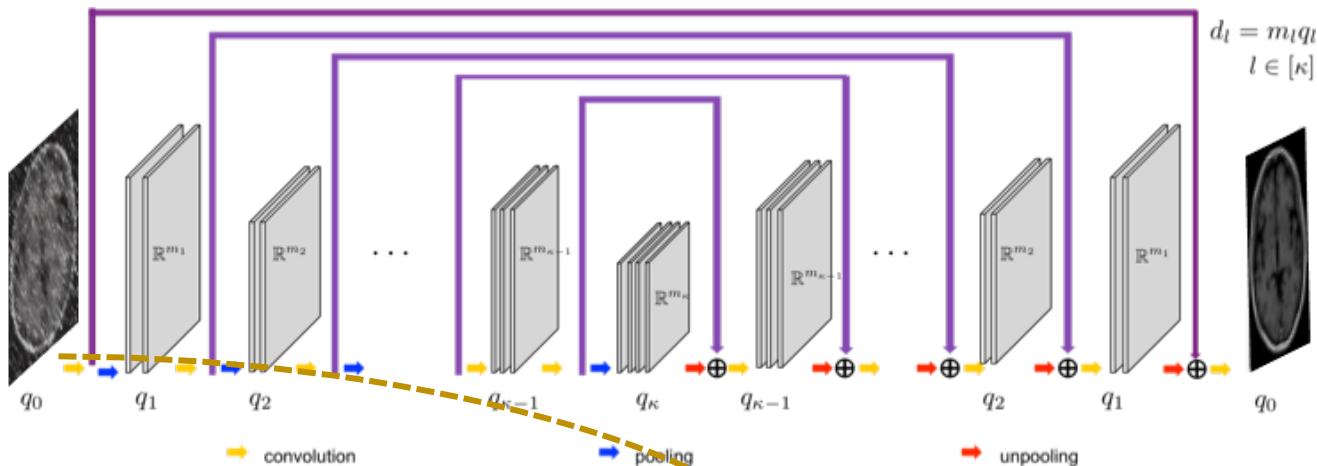
Ye et al, SIIMS, 2018; Ye et al, ICML, 2019



$$y = \sum_i \langle b_i(x), x \rangle \tilde{b}_i(x)$$

Our Theoretical Findings

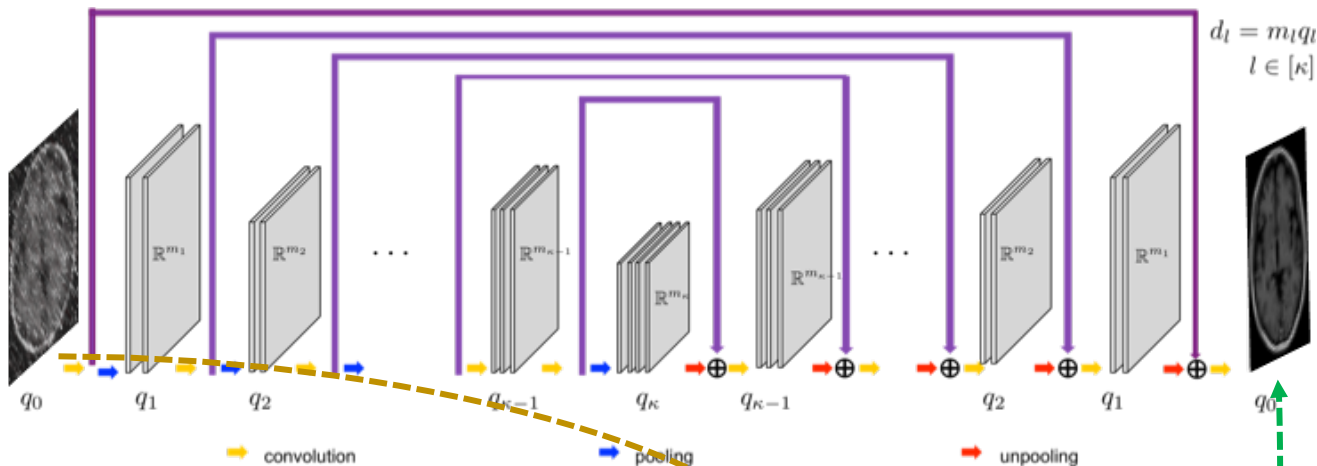
Ye et al, SIIMS, 2018; Ye et al, ICML, 2019



$$y = \sum_i \langle b_i(x), \tilde{x} \rangle \tilde{b}_i(x)$$

Our Theoretical Findings

Ye et al, SIIMS, 2018; Ye et al, ICML, 2019

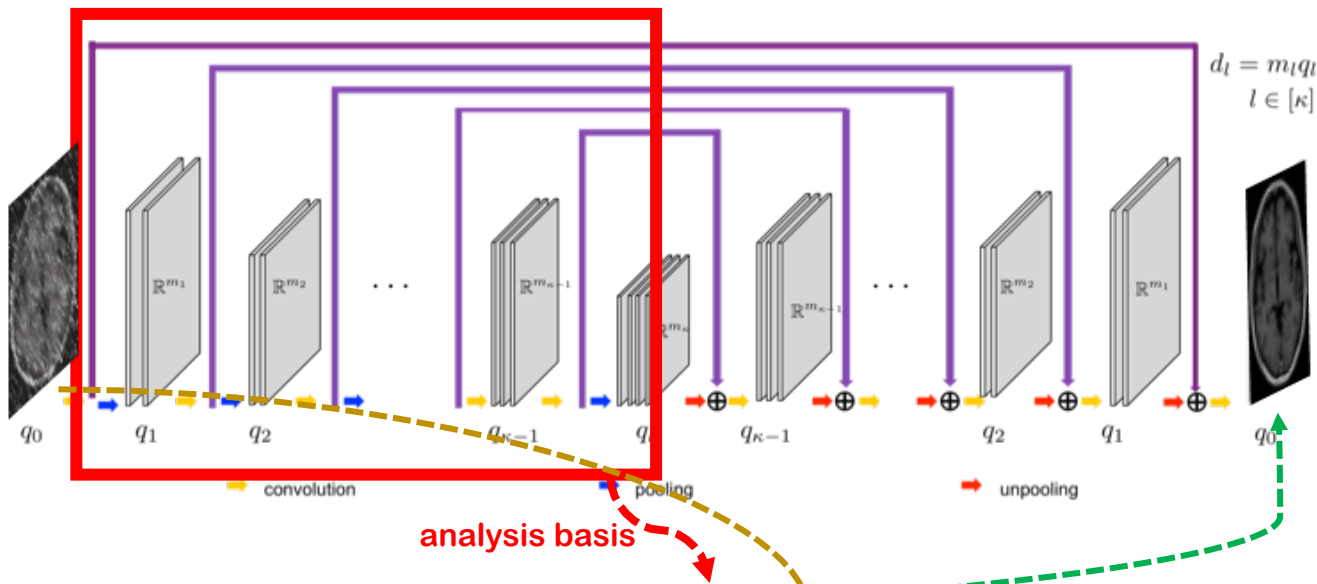


$$\tilde{y} = \sum_i \langle b_i(x), x \rangle \tilde{b}_i(x)$$

Our Theoretical Findings

Ye et al, SIIMS, 2018; Ye et al, ICML, 2019

Encoder



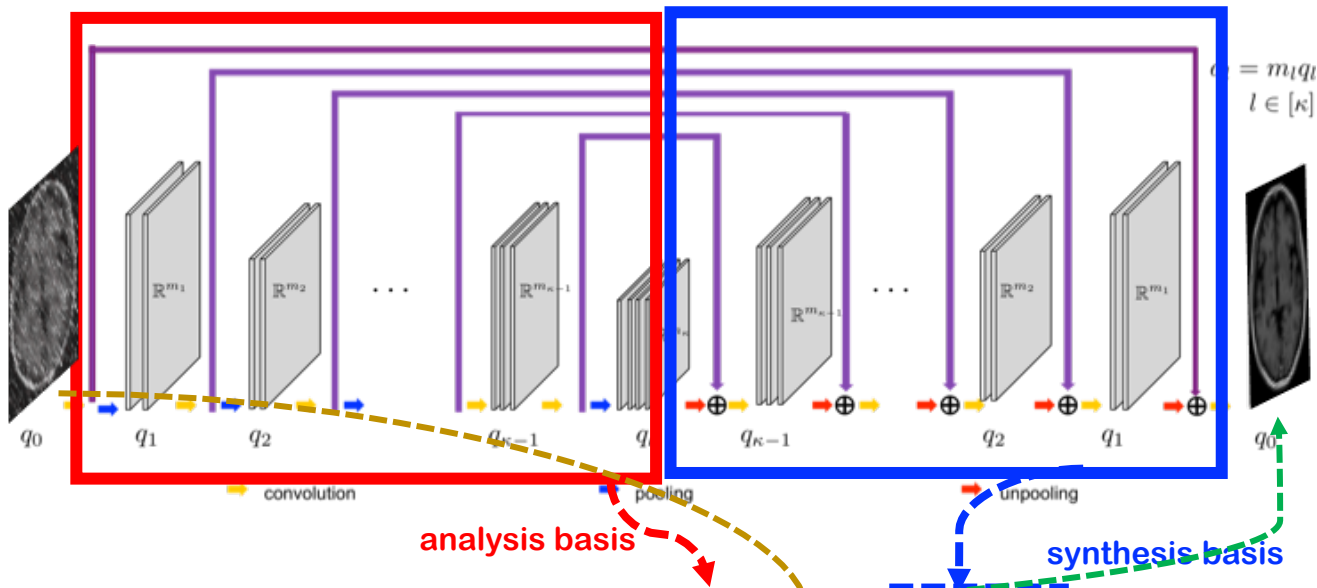
$$y = \sum_i \langle b_i(x), x \rangle \tilde{b}_i(x)$$

Our Theoretical Findings

Ye et al, SIIMS, 2018; Ye et al, ICML, 2019

Encoder

Decoder



$$y = \sum_i \langle b_i(x), x \rangle \tilde{b}_i(x)$$

Linear Encoder-Decoder (ED) CNN

$$y = \tilde{B} B^{\top} x = \sum_i \langle x, b_i \rangle \tilde{b}_i$$



$$B = E^1 E^2 \dots E^{\kappa},$$

$$\tilde{B} = D^1 D^2 \dots D^{\kappa}$$

pooling

$$E^l = \begin{bmatrix} \Phi^l \circledast \psi_{1,1}^l & \dots & \Phi^l \circledast \psi_{q_l,1}^l \\ \vdots & \ddots & \vdots \\ \Phi^l \circledast \psi_{1,q_{l-1}}^l & \dots & \Phi^l \circledast \psi_{q_l,q_{l-1}}^l \end{bmatrix}$$

un-pooling

$$D^l = \begin{bmatrix} \tilde{\Phi}^l \circledast \tilde{\psi}_{1,1}^l & \dots & \tilde{\Phi}^l \circledast \tilde{\psi}_{1,q_l}^l \\ \vdots & \ddots & \vdots \\ \tilde{\Phi}^l \circledast \tilde{\psi}_{q_{l-1},1}^l & \dots & \tilde{\Phi}^l \circledast \tilde{\psi}_{q_{l-1},q_l}^l \end{bmatrix}$$

Learned filters

Linear E-D CNN w/ Skipped Connection

$$y = \tilde{B} B^{\top} x = \sum_i \langle x, b_i \rangle \tilde{b}_i$$



$$B = [E^1 \dots E^{\kappa} \quad E^1 \dots E^{\kappa-1} S^{\kappa} \quad \dots \quad E^1 S^2 \quad S^1]$$

$$\tilde{B} = [D^1 \dots D^{\kappa} \quad D^1 \dots D^{\kappa-1} \tilde{S}^{\kappa} \quad \dots \quad D^1 \tilde{S}^2 \quad \tilde{S}^1]$$

more redundant expression

$$S^l = \begin{bmatrix} I_{m_{l-1}} \circledast \psi_{1,1}^l & \dots & I_{m_{l-1}} \circledast \psi_{q_l,1}^l \\ \vdots & \ddots & \vdots \\ I_{m_{l-1}} \circledast \psi_{1,q_{l-1}}^l & \dots & I_{m_{l-1}} \circledast \psi_{q_l,q_{l-1}}^l \end{bmatrix}$$

$$\tilde{S}^l = \begin{bmatrix} I_{m_{l-1}} \circledast \tilde{\psi}_{1,1}^l & \dots & I_{m_{l-1}} \circledast \tilde{\psi}_{1,q_l}^l \\ \vdots & \ddots & \vdots \\ I_{m_{l-1}} \circledast \tilde{\psi}_{q_{l-1},1}^l & \dots & I_{m_{l-1}} \circledast \tilde{\psi}_{q_{l-1},q_l}^l \end{bmatrix}$$

Learned filters

Deep Convolutional Framelets

Perfect reconstruction

$$x = \tilde{B} B^{\top} x = \sum_i \langle x, b_i \rangle \tilde{b}_i$$

Frame conditions

w/o skipped connection

$$\tilde{\Phi}^l \Phi^{l\top} = \alpha I_{m_{l-1}}, \quad \Psi^l \tilde{\Psi}^{l\top} = \frac{1}{r\alpha} I_{r q_{l-1}}$$

w skipped connection

$$\tilde{\Phi}^l \Phi^{l\top} = \alpha I_{m_{l-1}}, \quad \Psi^l \tilde{\Psi}^{l\top} = \frac{1}{r(\alpha + 1)} I_{r q_{l-1}}$$

Deep Convolutional Framelets

Perfect reconstruction

$$x = \tilde{B} B^{\top} x = \sum_i \langle x, b_i \rangle \tilde{b}_i$$

Frame conditions

w/o skipped connection

$$\tilde{\Phi}^l \Phi^{l\top} = \alpha I_{m_{l-1}}, \quad \Psi^l \tilde{\Psi}^{l\top} = \frac{1}{r\alpha} I_{r q_{l-1}}$$

w skipped connection

$$\tilde{\Phi}^l \Phi^{l\top} = \alpha I_{m_{l-1}}, \quad \Psi^l \tilde{\Psi}^{l\top} = \frac{1}{r(\alpha + 1)} I_{r q_{l-1}}$$

Role of ReLUs?

Generator for Multiple Expressions

$$y = \tilde{B}(x)B(x)^\top x = \sum_i \langle x, b_i(x) \rangle \tilde{b}_i(x)$$

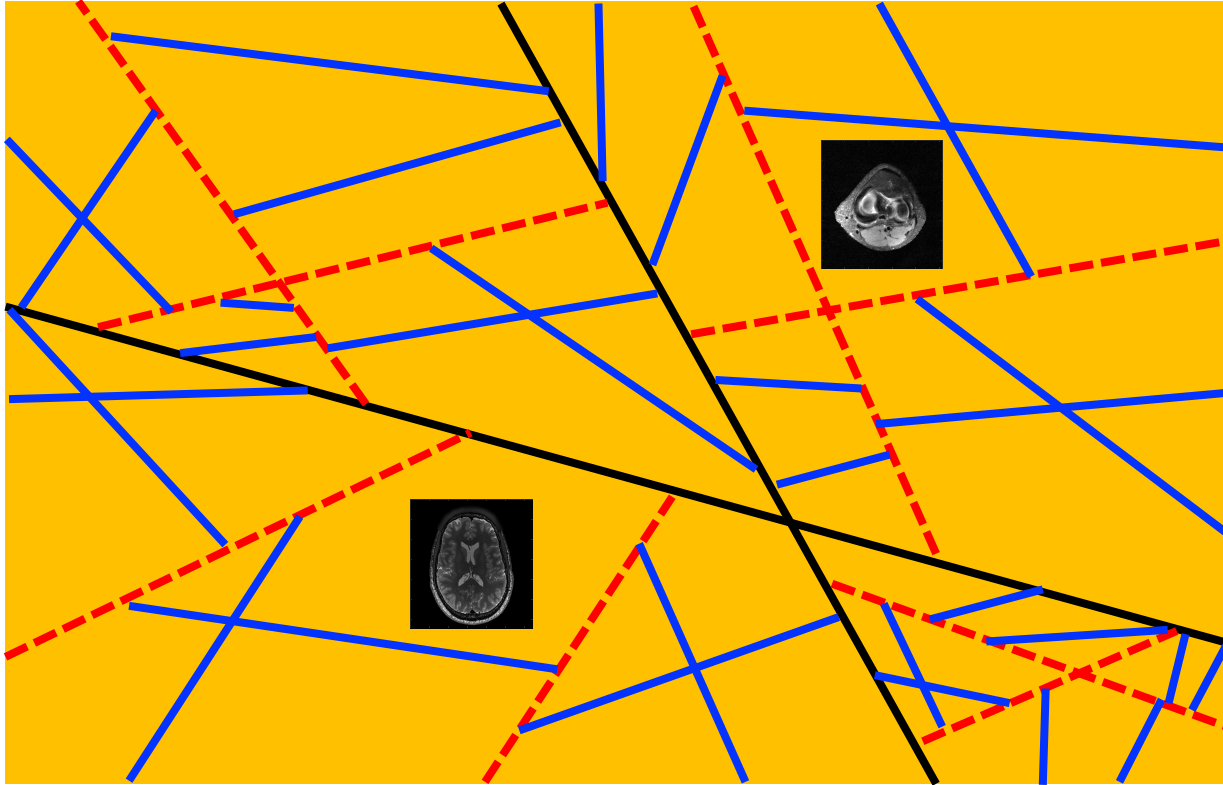
$$\begin{aligned} B(x) &= E^1 \Sigma^1(x) E^2 \dots \Sigma^{\kappa-1}(x) E^\kappa, \\ \tilde{B}(x) &= D^1 \tilde{\Sigma}^1(x) D^2 \dots \tilde{\Sigma}^{\kappa-1}(x) D^\kappa \end{aligned}$$

$$\Sigma^l(x) = \begin{bmatrix} \sigma_1 & 0 & \dots & 0 \\ 0 & \sigma_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \sigma_{m_l} \end{bmatrix}$$

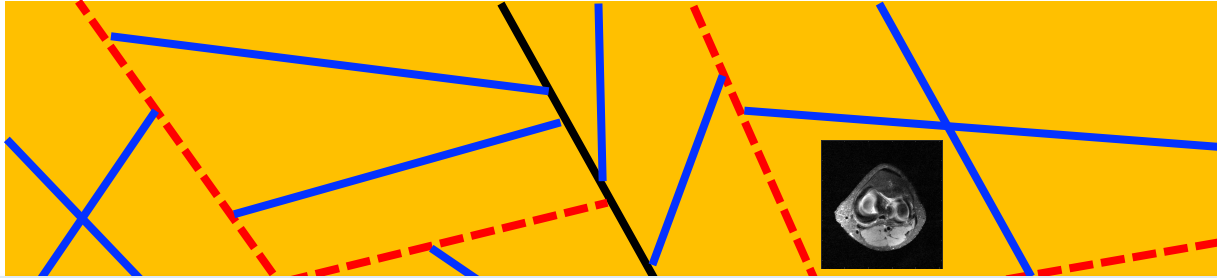
Input dependent $\{0,1\}$ matrix

--> Input adaptivity

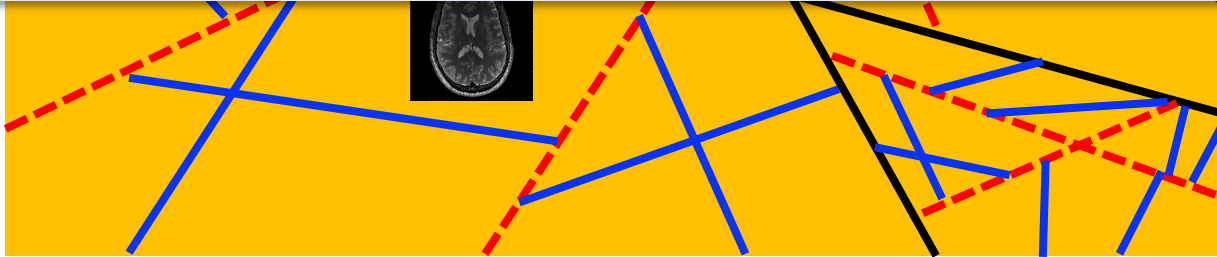
Input Space Partitioning for Multiple Expressions



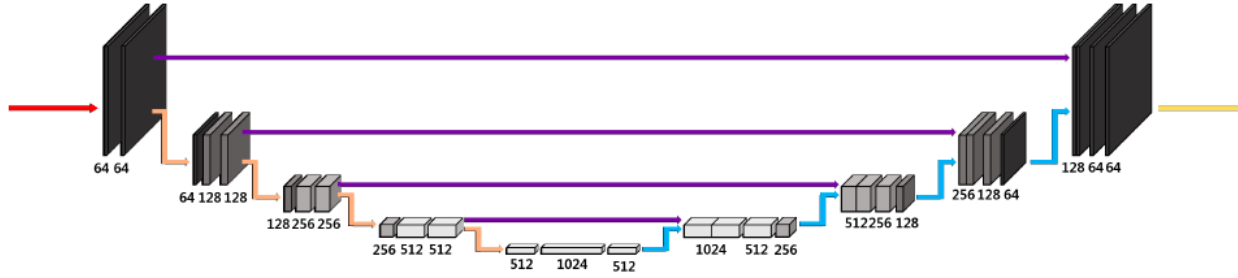
Input Space Partitioning for Multiple Expressions



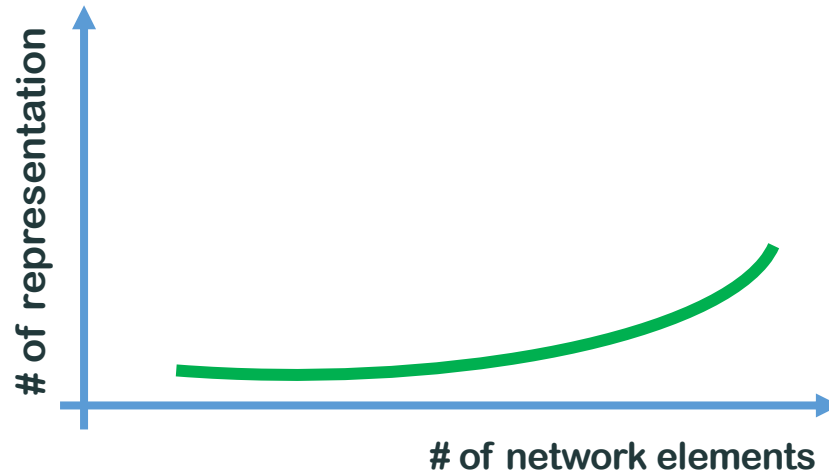
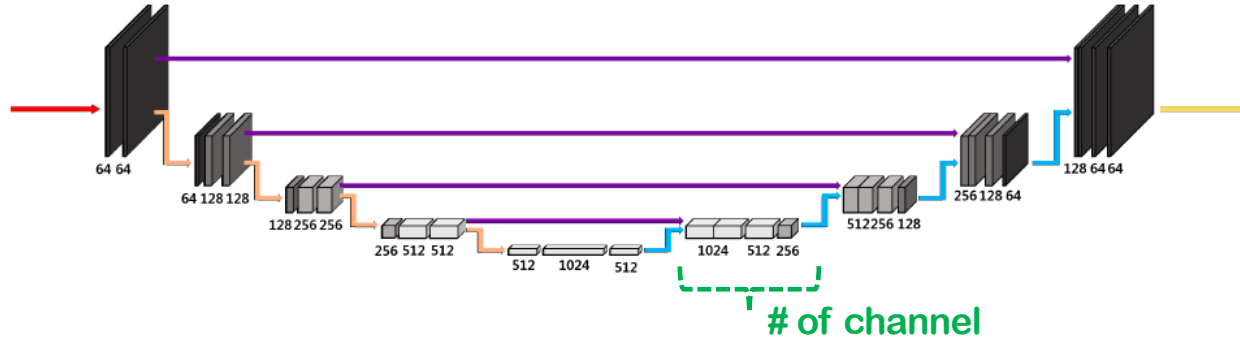
A CNN performs automatic assignment of
distinct linear representation depending on
input



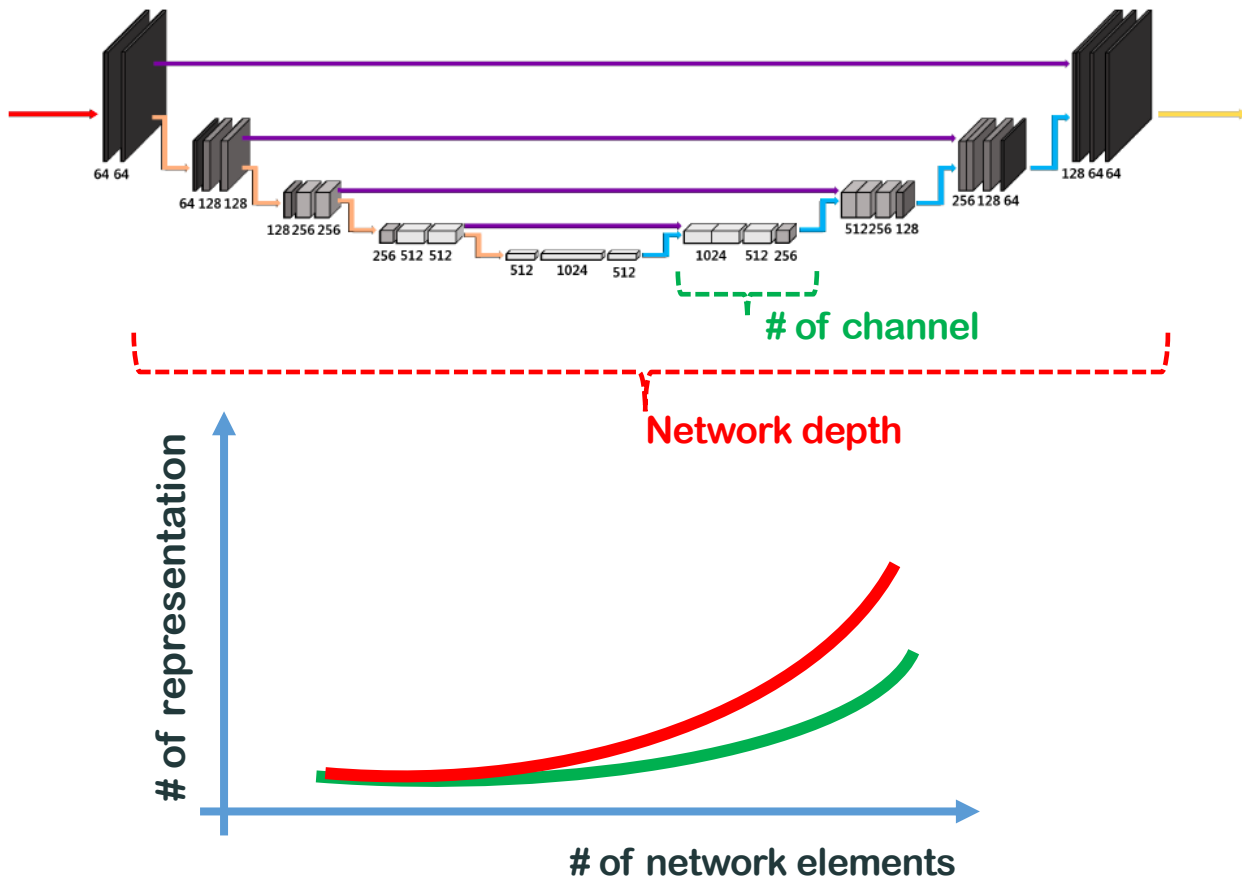
Expressivity of E-D CNN



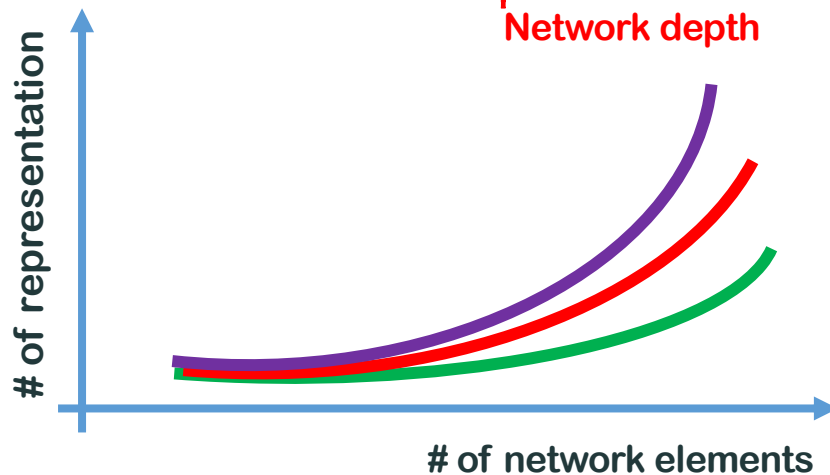
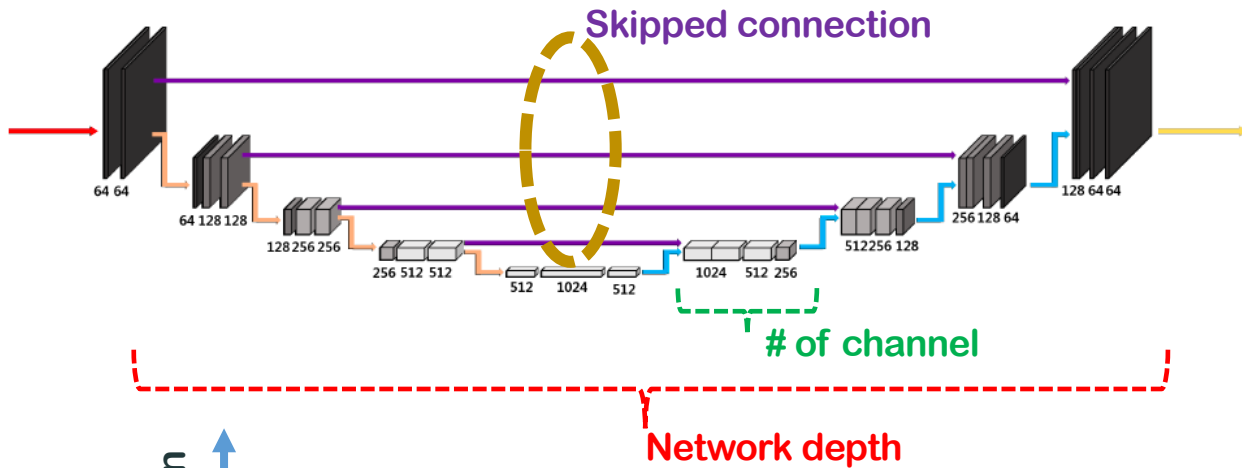
Expressivity of E-D CNN



Expressivity of E-D CNN



Expressivity of E-D CNN



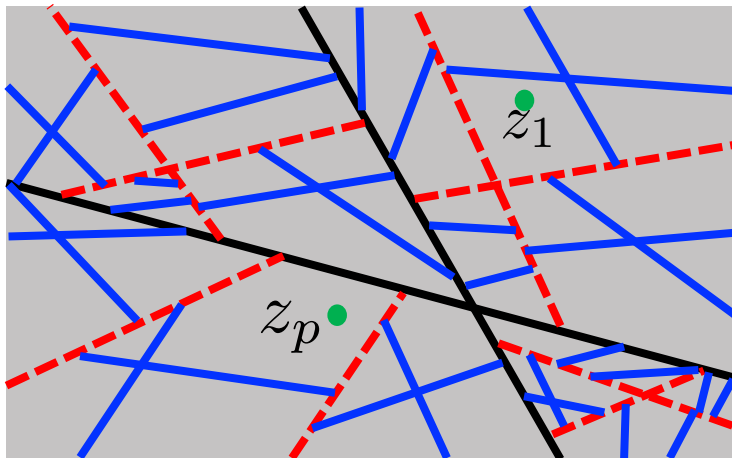
Lipschitz Continuity

Related to the generalizability

$$\|F(\mathbf{W}, x^{(1)}) - F(\mathbf{W}, x^{(2)})\|_2 \leq K \|x^{(1)} - x^{(2)}\|_2$$

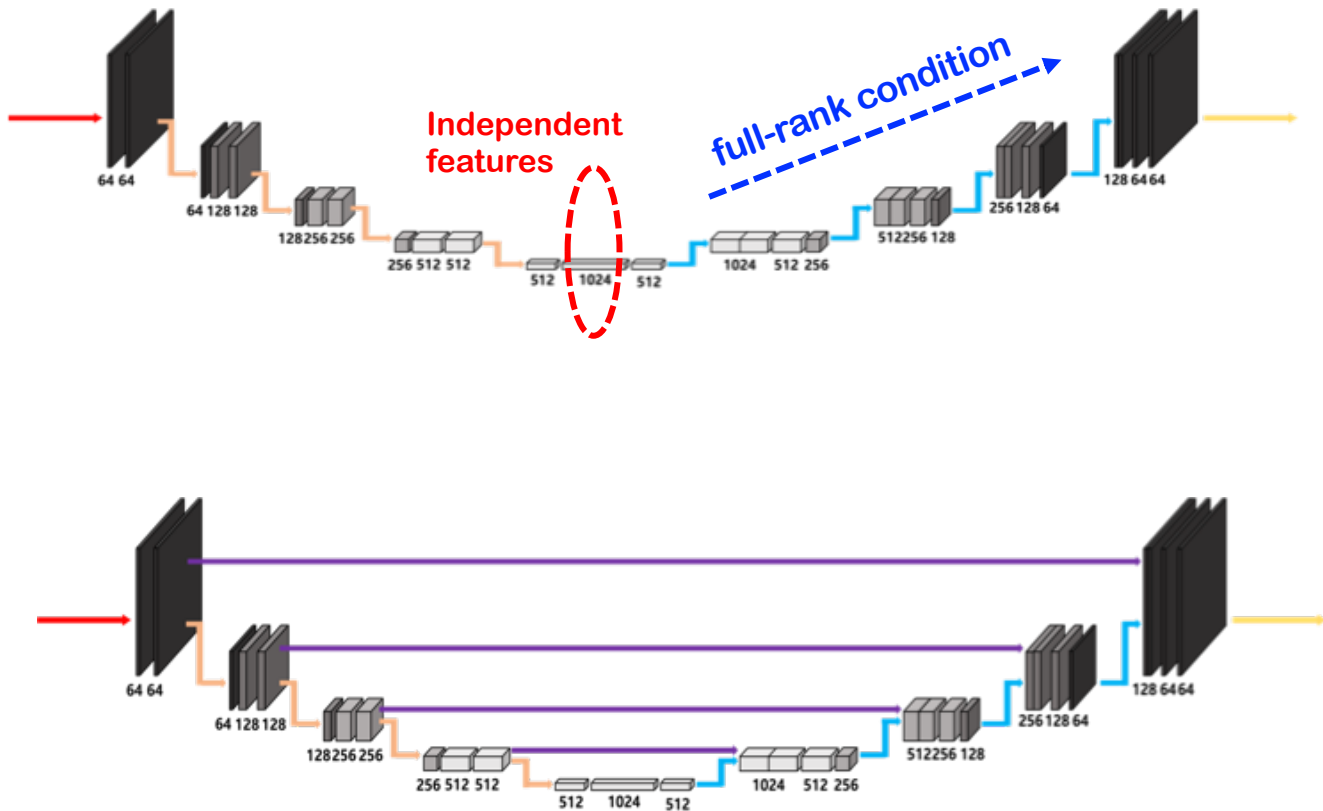
$$K = \max_p K_p, \quad K_p = \|\tilde{B}(z_p) B(z_p)^\top\|_2$$

Dependent on
the Local Lipschitz



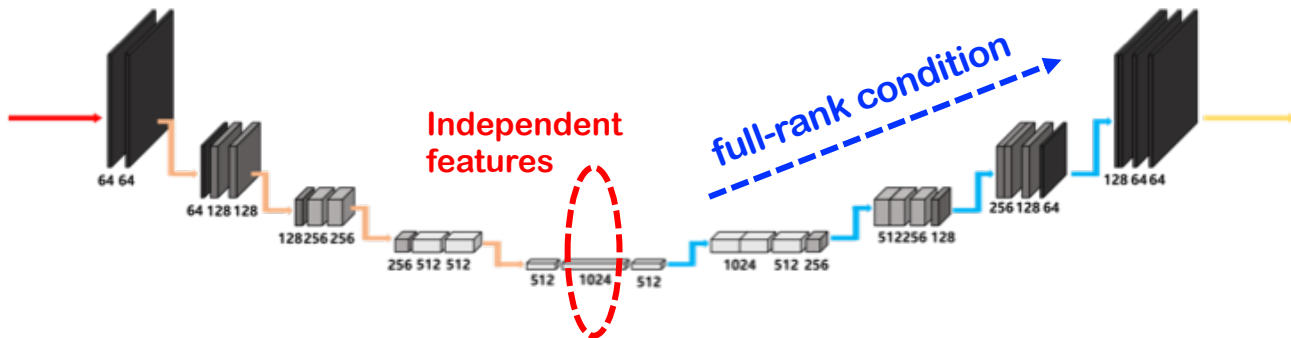
Benign Optimization Landscape

Nguyen, et al, ICML, 2018

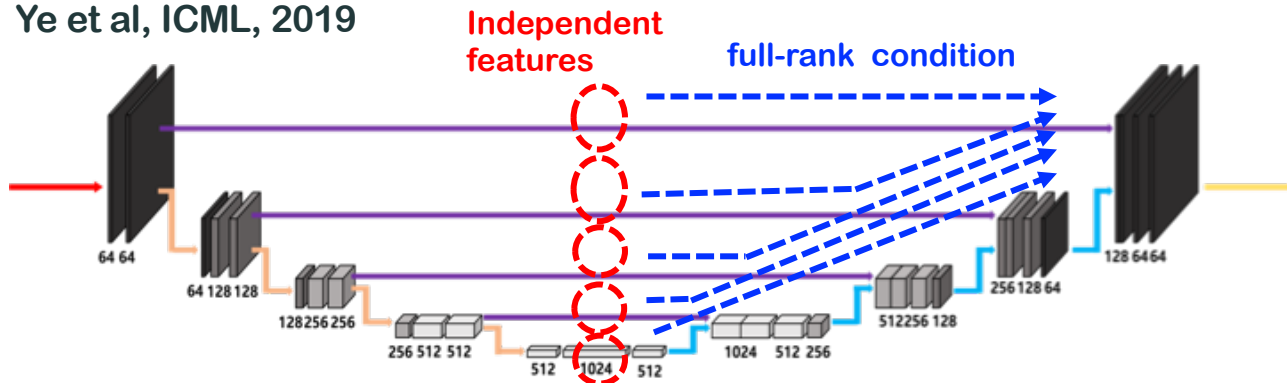


Benign Optimization Landscape

Nguyen, et al, ICML, 2018

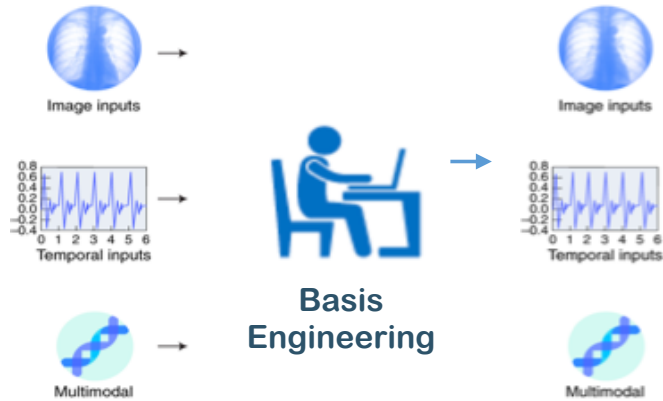


Ye et al, ICML, 2019

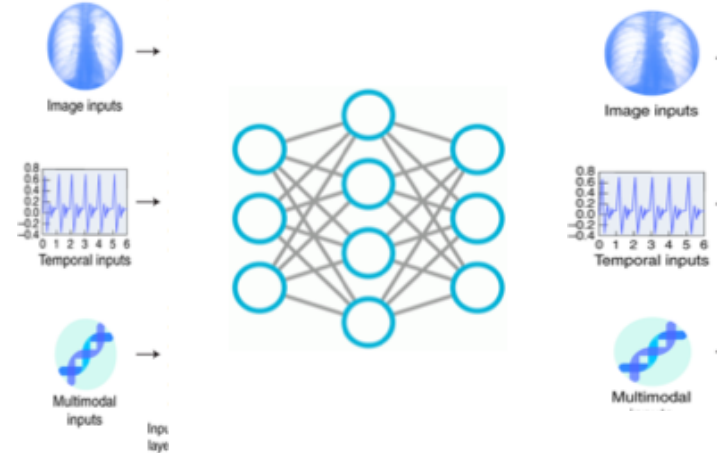


Regularized Recon vs. Deep Recon

Classical Regularized Recon (basis engineering)



Deep Recon (no basis engineering)



Summary

- Deep learning is a novel **signal representation using combinatorial framelets**
- ReLUs generate **multiple linear representation** by partitioning the input space
- **Local Lipschitz** controls the global Lipschitz continuity
- Skipped connection improves the **optimization landscape**
- Black-box nature of neural networks have been being unveiled.

Acknowledgement

- Daniel Rueckert (Imperial College)
- Florian Knoll (NYU)
- Fang Liu (Univ. of Wisconsin)
- Mehmet Akcakaya (Univ. of Minnesota)
- Dong Liang (SIAT, China)
- Dinggang Shen (UNC)
- Peder Larson (UCSF)
- Grant
 - NRF of Korea
 - Ministry of Trade Industry and Energy
- Hyunwook Park (KAIST)
- Sung-hong Park (KAIST)
- Jongho Lee (SNU)
- Doshik Hwang (Yonsei Univ)
- Won-Jin Moon (Konkuk Univ Medical Center)
- Eungyeop Kim (Gachon Univ. Medical Center)
- Leonard Sunwoo (SNUBH)
- Kyuhwan Jung (Vuno)